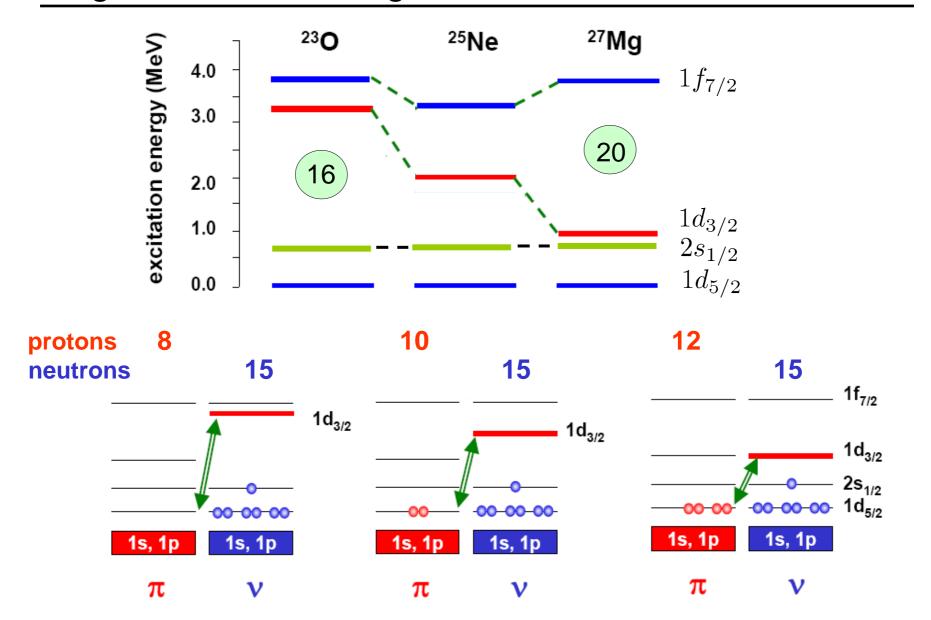
# Breakup and Knockout reactions

Mini-school on Nuclear Reaction Theories for Nuclear Astrophysics Surrey, 9<sup>th</sup> January 2009

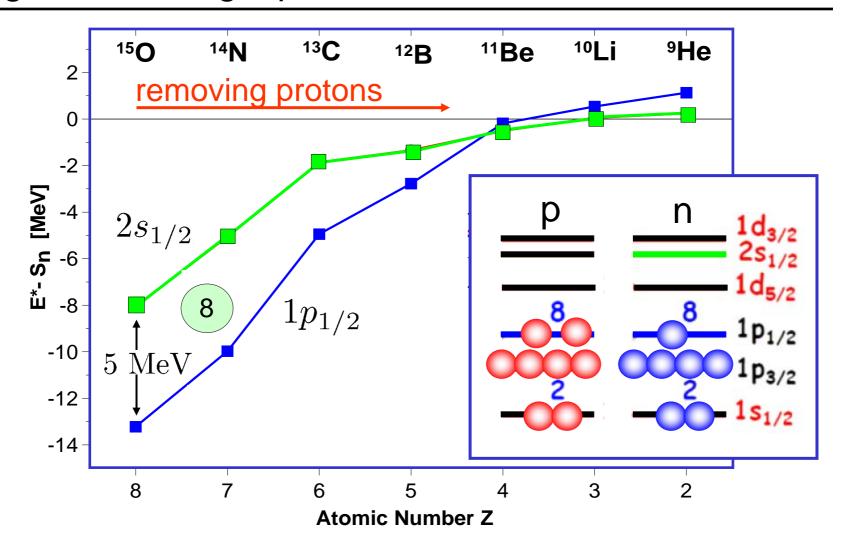
Jeff Tostevin, Department of Physics Faculty of Engineering and Physical Sciences University of Surrey, UK



# Magic numbers change with "neutron richness"

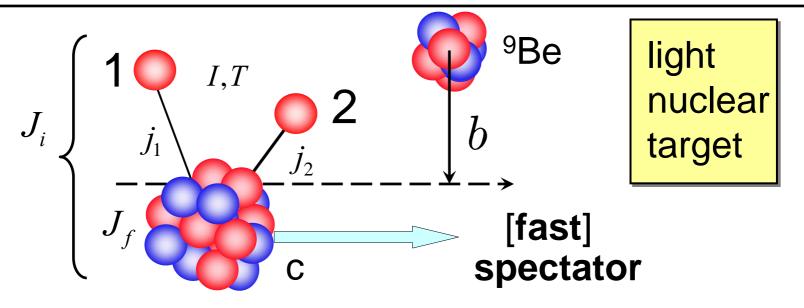


## Migration of single particle levels for N=7



From: P.G. Hansen and J.A. Tostevin, Ann Rev Nucl Part Sci 53 (2003) 219

#### One and two nucleon knockout, ~100 MeV/u



Experiments are inclusive (with respect to the <u>target</u> final states). Core final state measured – using gamma rays – whenever possible – and <u>the momenta of the residues</u>.

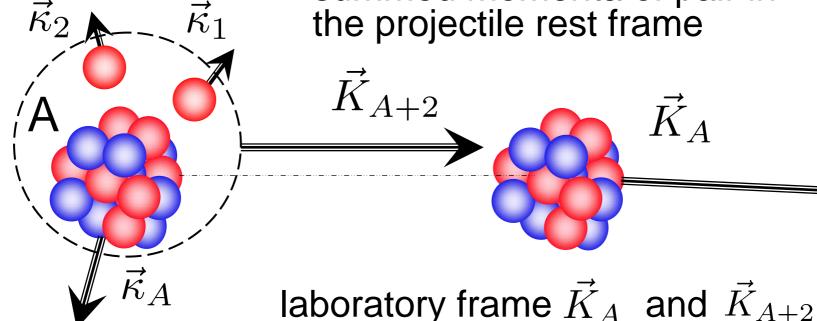
Cross sections are large and they include both:

<u>Break-up</u> (elastic) and <u>stripping</u> (inelastic/absorptive)
interactions of the removed nucleon(s) with the target

#### Sudden 2N removal from the mass A residue

Sudden removal: residue momenta probe the

summed momenta of pair in the projectile rest frame

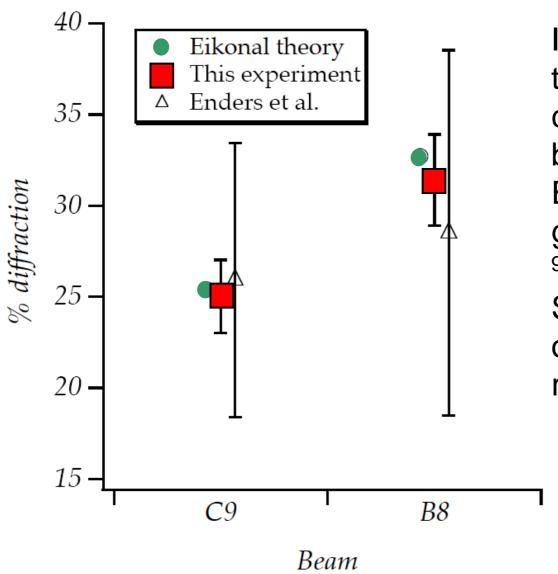


Projectile rest frame

$$\vec{K}_A = \frac{A}{A+2}\vec{K}_{A+2} - [\vec{\kappa}_1 + \vec{\kappa}_2]$$

and component equations

## Two mechanisms – stripping and diffraction



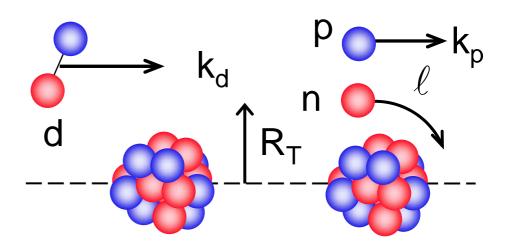
In those cases where the two, separate contributions have been measured, the Eikonal theory does a good job. Here for <sup>9</sup>C(-p) and <sup>8</sup>B(-p) Sum is measured – only the heavy residue is detected

D. Bazin et al., to be published; Proc of International Nuclear Physics Conference(INPC07), (Tokyo, Japan 2007) Volume 2, pp. 406ed,.

## Specific limitations and positives

Have only the (ground and isomeric?) structure of the state presented by the incident beam – limitation? – but

the removal reaction mechanism finesses some sensitivities of a transfer reaction — where linear and angular momentum



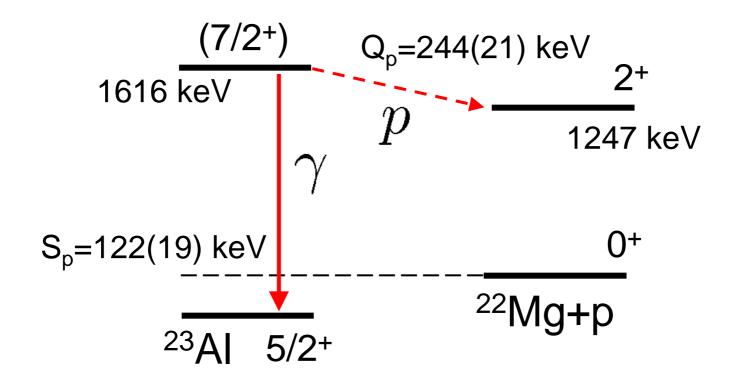
matching/mismatch can result in greater sensitivity to optical and bound states potential parameters - especially for large Q.

# An example of p-pickup $- {}^{22}Mg + {}^{9}Be \rightarrow {}^{23}Al + X$

proposed structure  $[2^+ \otimes d_{5/2}]_{7/2^+}$ 

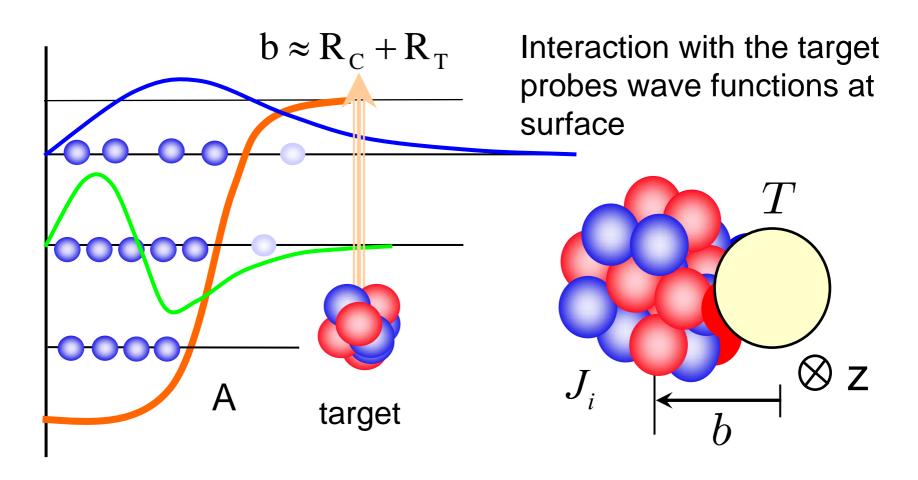
#### 100 MeV/nucleon

[ 0.54(5) mb ]

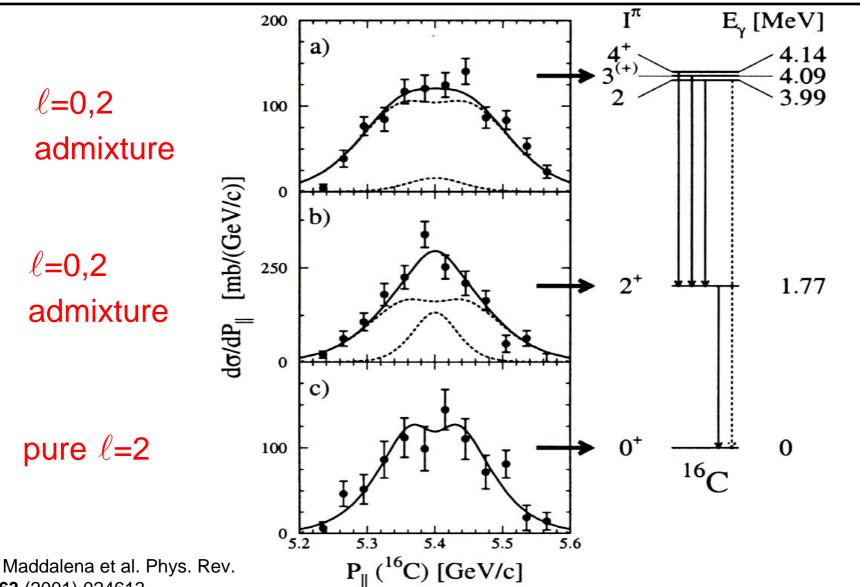


A. Gade at al., Phys. Lett. B 666, (2008) 218

# Sampling the single-nucleon wave function

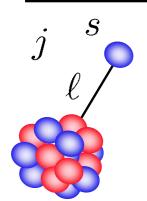


## Single-neutron knockout – momentum distributions



V. Maddalena et al. Phys. Rev. C 63 (2001) 024613

# Large r: The Asymptotic Normalisation Coefficient



Bound states
$$E_{cm} < 0 \quad \kappa_b = \sqrt{\frac{2\mu |E_{cm}|}{\hbar^2}}$$

$$\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - \frac{2\mu}{\hbar^2} U_{\ell j}(r) - \kappa_b^2\right) u_{n\ell j}(r) = 0$$

but beyond the range of the nuclear forces, then

$$\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - \frac{2\eta_b \kappa_b}{r} - \kappa_b^2\right) u_{n\ell j}(r) = 0, \quad \eta_b = \frac{\mu Z_c Z_v e^2}{\hbar \kappa_b}$$

$$u_{n\ell j}(r) o C_{\ell j} W_{-\eta_b,\ell+1/2}(2\kappa_b r) \longrightarrow C_{\ell j} \exp(-\kappa_b r)$$
 Whittaker function  $r \to \infty$ 

 $C_{\ell j}$  | ANC completely determines the wave function outside of the range of the nuclear potential - only requirement if a reaction probes only these radii

#### Ingoing and outgoing waves amplitudes

$$u_{k\ell j}(r) \to (i/2) \begin{bmatrix} 1 H_{\ell}^{(-)} - S_{\ell j} H_{\ell}^{(+)} \end{bmatrix}$$

$$E \longrightarrow U(r)$$

$$W(r)$$

$$V(r)$$

$$|S_{\ell j}|^{2}$$

$$(1 - |S_{\ell j}|^{2})$$

# Eikonal approximation: neutral point particles (1)

Approximate (semi-classical) scattering solution of

$$\left(-\frac{\hbar^2}{2\mu}\nabla_r^2 + U(r) - E_{cm}\right)\chi_{\vec{k}}^+(\vec{r}) = 0, \quad \mu = \frac{m_c m_v}{m_c + m_v}$$

$$\left(\nabla_r^2 - \frac{2\mu}{\hbar^2}U(r) + k^2\right)\chi_{\vec{k}}^+(\vec{r}) = 0$$

valid when  $|U|/E \ll 1, \ ka \gg 1$   $\rightarrow$  high energy Key steps are: (1) the distorted wave function is written

$$\chi_{\vec{k}}^+(\vec{r}) = \exp(i\vec{k}\cdot\vec{r})$$
  $\omega(\vec{r})$  all effects due to  $U(r)$ , modulation function

(2) Substituting this product form in the Schrodinger Eq.

$$\left[2i\vec{k}\cdot\nabla\omega(\vec{r}) - \frac{2\mu}{\hbar^2}U(r)\omega(\vec{r}) + \nabla^2\omega(\vec{r})\right]\exp(i\vec{k}\cdot\vec{r}) = 0$$

# Eikonal approximation: point neutral particles (2)

$$\left[2i\vec{k}\cdot\nabla\omega(\vec{r}) - \frac{2\mu}{\hbar^2}U(r)\omega(\vec{r}) + \nabla^2\omega(\vec{r})\right]\exp(i\vec{k}\cdot\vec{r}) = 0$$

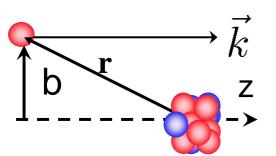
The conditions  $|U|/E \ll 1$ ,  $ka \gg 1 \rightarrow \text{imply that}$ 

$$2\vec{k} \cdot \nabla \omega(\vec{r}) \gg \nabla^2 \omega(\vec{r})$$

 $2\vec{k}\cdot\nabla\omega(\vec{r})\gg\nabla^2\omega(\vec{r})$  Slow spatial variation cf. k

and choosing the z-axis in the beam direction  $\hat{k}$ 

$$\frac{d\omega}{dz} \approx -\frac{i\mu}{\hbar^2 k} U(r)\omega(\vec{r})$$



$$\frac{d\omega}{dz} \approx -\frac{i\mu}{\hbar^2 k} U(r)\omega(\vec{r}) \qquad \text{phase that develops with z}$$
 with solution 
$$\omega(\vec{r}) = \exp\left[-\frac{i\mu}{\hbar^2 k} \int_{-\infty}^z U(r) dz'\right]$$

1D integral over a straight line path through U at the impact parameter b

# Eikonal approximation: point neutral particles (3)

$$\chi_{\vec{k}}^{+}(\vec{r}) = \exp(i\vec{k} \cdot \vec{r}) \ \omega(\vec{r}) \approx \exp(i\vec{k} \cdot \vec{r}) \left[ \exp\left[ -\frac{i\mu}{\hbar^2 k} \int_{-\infty}^{z} U(r) dz' \right] \right]$$

So, after the interaction and as  $z \rightarrow \infty$ 

$$\chi_{\vec{k}}^+(\vec{r}) \to \exp(i\vec{k} \cdot \vec{r}) \exp\left[-\frac{i\mu}{\hbar^2 k} \int_{-\infty}^{\infty} U(r) dz'\right] = S(b) \exp(i\vec{k} \cdot \vec{r})$$

$$\chi_{\vec{k}}^+(\vec{r}) \to S(b) \exp(i\vec{k} \cdot \vec{r})$$

Eikonal approximation to the S-matrix S(b)

$$S(b) = \exp\left[-\frac{i}{\hbar v} \int_{-\infty}^{\infty} U(r)dz'\right]$$

S(b) is amplitude of the forward going outgoing waves from the scattering at impact parameter b

$$v = \hbar k/m$$

$$\downarrow b$$

$$\downarrow z$$

Moreover, the structure of the theory generalises simply to few-body projectiles

#### Eikonal approximation: point particles

$$\chi_{\vec{k}}^{+}(\vec{r}) = \exp(i\vec{k} \cdot \vec{r}) \exp\left[-\frac{i\mu}{\hbar^{2}k} \int_{-\infty}^{z} U(r)dz'\right]$$

$$v = \hbar k/m$$

$$\chi(b) = -\frac{1}{\hbar v} \int_{-\infty}^{\infty} U(r)dz$$

$$U(r)$$
Ilimit of range of

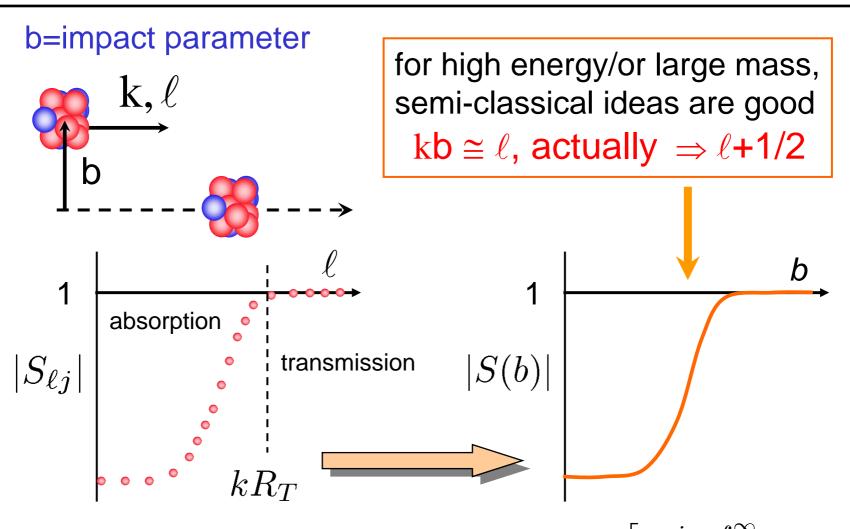
finite ranged

potential

$$\chi_{\vec{k}}^+(\vec{r}) \to S(b) \exp(i\vec{k} \cdot \vec{r})$$

$$S(b) = \exp\left[i\chi(b)\right] = \exp\left[-\frac{i}{\hbar v}\int_{-\infty}^{\infty}U(r)dz'\right]$$

## Semi-classical models for the S-matrix - S(b)



$$u_{k\ell j}(r) \to (i/2)[H_{\ell}^{(-)} - S_{\ell j}H_{\ell}^{(+)}]$$
  $S(b) = \exp\left[-\frac{i}{\hbar v} \int_{-\infty}^{\infty} U(r)dz'\right]$ 

## Point particle scattering – cross sections

All cross sections, etc. can be computed from the S-matrix, in either the partial wave or the eikonal (impact parameter) representation, for example (spinless case):

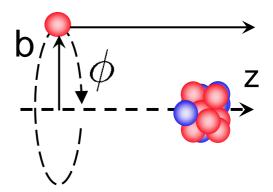
$$\sigma_{el} = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell+1)|1 - S_{\ell}|^2 \approx \int d^2\vec{b} |1 - S(b)|^2$$

$$\sigma_R = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell+1)(1 - |S_{\ell}|^2) \approx \int d^2\vec{b} (1 - |S(b)|^2)$$

$$\sigma_{tot} = \sigma_{el} + \sigma_R = 2 \int d^2\vec{b} [1 - \text{Re}.S(b)] \quad \text{etc.}$$

and where (cylindrical coordinates)

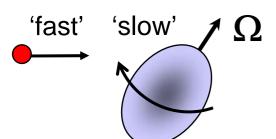
and where (cylindrical coordinates) 
$$\int d^2\vec{b} \equiv \int_0^\infty bdb \int_0^{2\pi} d\phi = 2\pi \int_0^\infty bdb$$



# Adiabatic (sudden) approximations in physics

Identify high energy/fast and low energy/slow degrees of freedom

Fast neutron scattering from a rotational nucleus

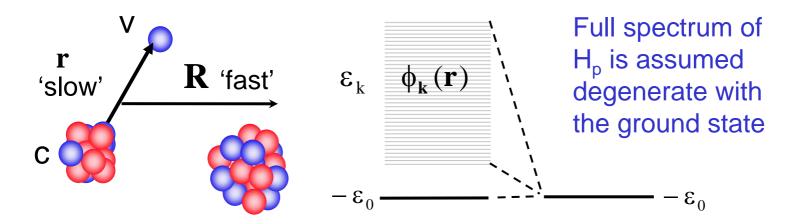


Fix  $\Omega$ , calculate scattering amplitude  $f(\theta, \Omega)$  for each (fixed)  $\Omega$ .

moment of inertia  $\rightarrow \infty$  and rotational spectrum is assumed degenerate

Transition amplitudes  $f_{\alpha\beta}(\theta) = \langle \beta \mid f(\theta, \Omega) \mid \alpha \rangle_{\Omega}$ 

#### Few-body projectiles – the adiabatic model



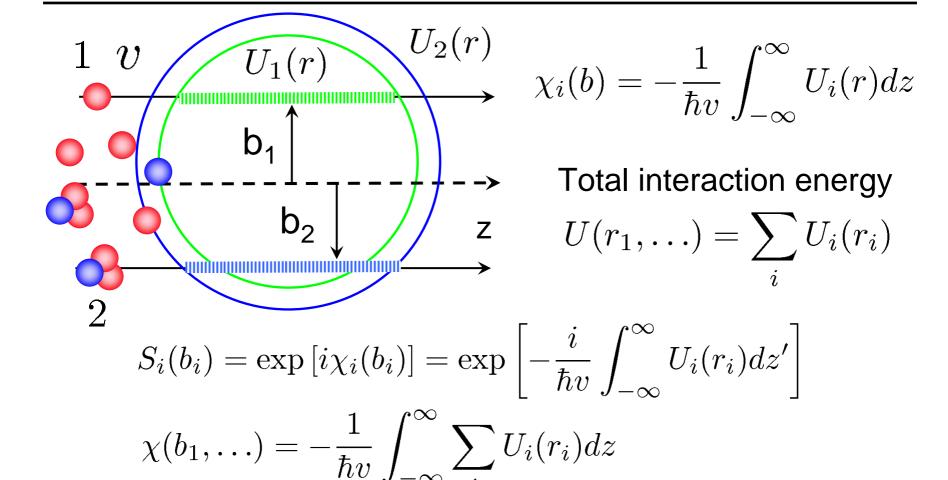
Freeze internal co-ordinate  ${\bf r}$  then scatter c+v from target and compute  $f(\theta,{\bf r})$  for all required <u>fixed</u> values of  ${\bf r}$ 

Physical amplitude for breakup to state  $\phi_k(\mathbf{r})$  is then,

$$f_k(\theta) = \langle \phi_k | f(\theta, \mathbf{r}) | \phi_0 \rangle_{\mathbf{r}}$$

Achieved by replacing  $H_p \rightarrow -\epsilon_0$  in Schrödinger equation

#### Adiabatic approximation: composite projectile



with composite systems: get products of the S-matrices

$$\exp[i\chi(b_1,\ldots)] = \prod_i S_i(b_i)$$

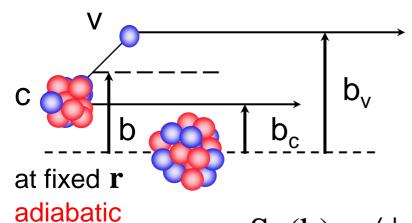
## Few-body eikonal model amplitudes

So, after the collision, as  $Z \rightarrow \infty$   $\omega(\mathbf{r}, \mathbf{R}) = S_c(b_c) S_v(b_v)$ 

$$\omega(\mathbf{r}, \mathbf{R}) = S_c(b_c) S_v(b_v)$$

$$\Psi_{\mathbf{K}}^{\text{Eik}}(\mathbf{r},\mathbf{R}) \rightarrow e^{i\mathbf{K}\cdot\mathbf{R}} S_{c}(b_{c}) S_{v}(b_{v}) \phi_{0}(\mathbf{r})$$

with  $S_c$  and  $S_v$  the eikonal approximations to the S-matrices for the independent scattering of c and v from the target - the dynamics



So, elastic amplitude (S-matrix) for the scattering of the projectile at an impact parameter b - i.e. The amplitude that it emerges in state  $\phi_0(\mathbf{r})$  is

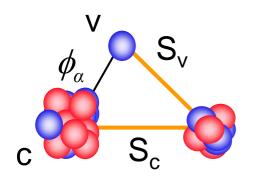
$$S_{p}(b) = \langle \phi_{0} | \underbrace{S_{c}(b_{c}) S_{v}(b_{v})}_{c} | \phi_{0} \rangle_{r}$$

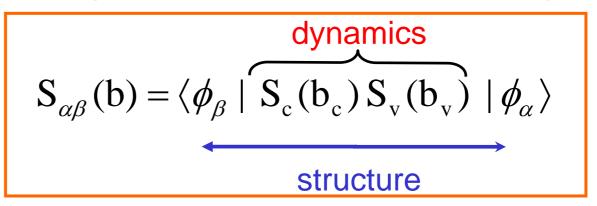
averaged over position probabilities of c and v

amplitude that c,v survive interaction with b<sub>c</sub> and b<sub>v</sub>

#### Eikonal theory - dynamics and structure

Independent scattering information of c and v from target





Use the best available few- or many-body wave functions

#### More generally,

$$S_{\alpha\beta}(b) = \langle \phi_{\beta} | S_1(b_1) S_2(b_2) ..... S_n(b_n) | \phi_{\alpha} \rangle$$

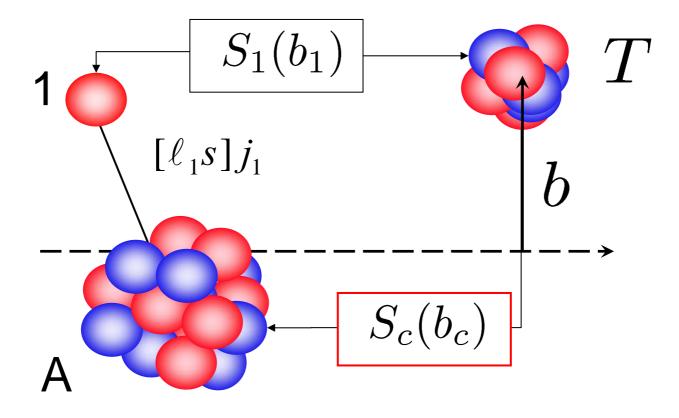
for any choice of 1,2,3, ..... n clusters for which a most realistic wave function  $\varphi$  is available

#### Absorptive cross sections - target excitation

Since our effective interactions are  $|S(b)|^2 \le 1$ complex all our S(b) include the effects of absorption due to inelastic channels  $\sigma_{abs} = \sigma_{R} - \sigma_{diff} = \int d\mathbf{b} \left\langle \phi_{0} \left| 1 - \left| S_{c} S_{v} \right| \right|^{2} \left| \phi_{0} \right\rangle$ stripping of v from  $\begin{cases}
|S_{v}|^{2} (1-|S_{c}|^{2}) + \\
|S_{c}|^{2} (1-|S_{v}|^{2}) + \\
(1-|S_{c}|^{2}) (1-|S_{v}|^{2})
\end{cases}$ projectile v survives, c absorbed exciting the target. v absorbed, c survives c scatters at most v absorbed, c absorbed elastically with the  $\sigma_{\text{strip}} = \int d\mathbf{b} \langle \phi_0 || S_c |^2 (1 - |S_v|^2) |\phi_0\rangle$ target

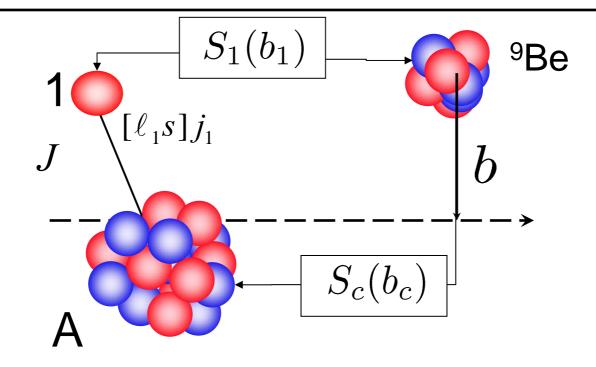
Related equations exist for the differential cross sections, etc.

## Stripping of a nucleon



$$\sigma_{\text{strip}} = \int d\mathbf{b} \langle \phi_0 || \mathbf{S}_C |^2 (1 - |\mathbf{S}_1|^2) |\phi_0\rangle$$

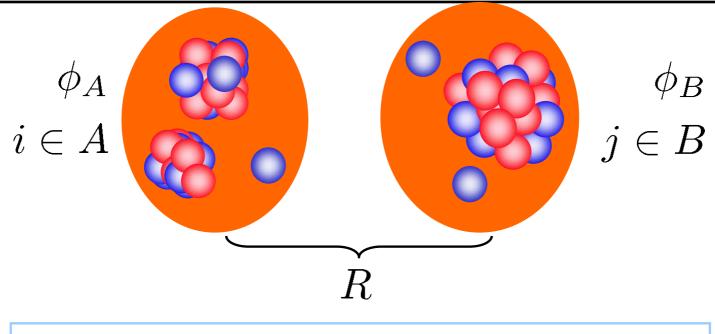
#### Sudden removal – eikonal model cross sections



At any given facility, and a programme of measurements (with an essentially fixed energy per nucleon) and given target then only two things change for different exotic beams (1) the core target interaction (2) the nuclear structure \*\*\*

J.A. Tostevin, G. Podolyák et al., PRC 70 (2004) 064602.

# Folding models are a general procedure



$$V_F(R) = \langle \phi_A \phi_B | \sum_{ij} V_{ij}(\vec{r}_{ij}) | \phi_A \phi_B \rangle$$

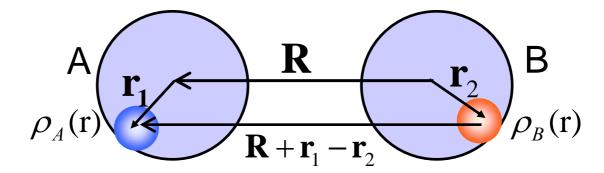
Pair-wise interactions integrated (averaged) over the internal motions of the two composites

## Effective interactions – Folding models

Double folding

$$V_{AB}(R) = \int d\mathbf{r}_1 \int d\mathbf{r}_2 \, \rho_A(\mathbf{r}_1) \, \rho_B(\mathbf{r}_2) \, V_{NN}(\mathbf{R} + \mathbf{r}_1 - \mathbf{r}_2)$$

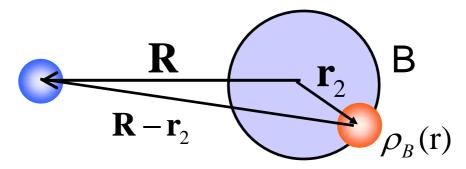
 $\mathbf{V}_{AB}$ 



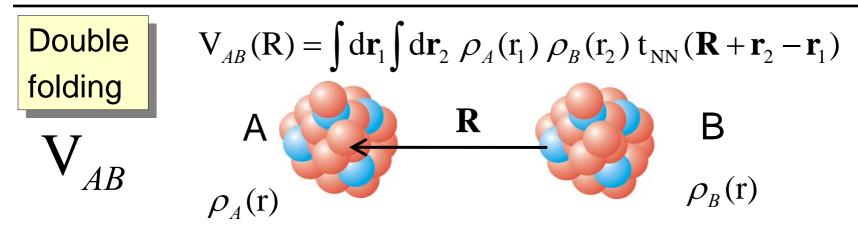
Single folding

$$\mathbf{V}_{B}(\mathbf{R}) = \int d\mathbf{r}_{2} \, \rho_{B}(\mathbf{r}_{2}) \, \mathbf{v}_{NN}(\mathbf{R} - \mathbf{r}_{2})$$

 $\mathbf{V}_{B}$ 



#### Core-target effective interactions



At higher energies – for nucleus-nucleus or nucleon-nucleus systems – first order term of multiple scattering expansion

$$t_{NN}(r) = \left[ -\frac{\hbar v}{2} \sigma_{NN}(i + \alpha_{NN}) \right] f(r), \quad \int d\vec{r} f(r) = 1$$

e.g. 
$$f(r) = \delta(r)$$

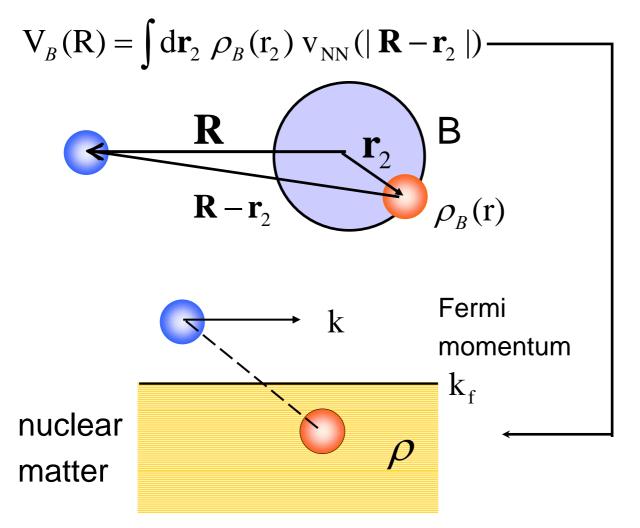
nucleon-nucleon cross section

$$f(r) = (\sqrt{\pi}t)^{-3} \exp(-r^2/t^2)$$

resulting in a COMPLEX nucleus-nucleus potential

M.E. Brandan and G.R. Satchler, The Interaction between Light Heavy-ions and what it tells us, Phys. Rep. **285** (1997) 143-243.

#### Effective NN interactions – not free interactions



include the effect of NN interaction in the "nuclear medium" – Pauli blocking of pair scattering into occupied states  $\rightarrow V_{NN}(\rho, \mathbf{r})$ 

But as E 
$$\rightarrow$$
 high  $v_{NN} \rightarrow v_{NN}^{free}$ 

#### Bound states – spectroscopic factors

In a potential model it is natural to define <u>normalised</u> bound state wave functions.  $A_{\mathbf{V}}(T^n)$ 

$$\phi_{n\ell j}^{m}(\vec{r}) = \sum_{\lambda \sigma} (\ell \lambda s \sigma | j m) \frac{u_{n\ell j}(r)}{r} Y_{\ell}^{\lambda}(\hat{r}) \chi_{s}^{\sigma},$$

$$\int_{0}^{\infty} [u_{n\ell j}(r)]^{2} dr = 1$$

$$n\ell_{j}$$

$$A-1 X(J_{f}^{\pi})$$

The potential model wave function approximates the <u>overlap function</u> of the A and A–1 body wave functions (A and A–n in the case of an n-body cluster) i.e. the overlap

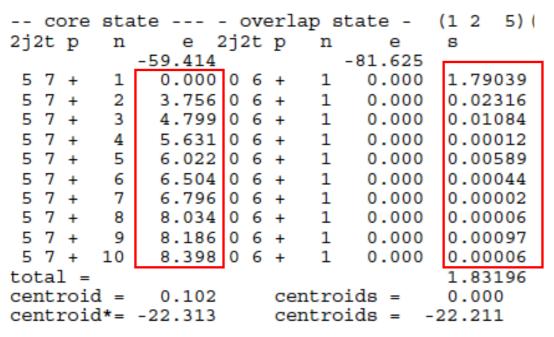
$$\langle \ell j, \vec{r}, A^{A-1} X(J_f^{\pi}) | A Y(J_i^{\pi}) \rangle \to I_{\ell j}(r), \quad \int_0^{\infty} [I_{\ell j}(r)]^2 dr = S(J_i, J_f \ell j)$$

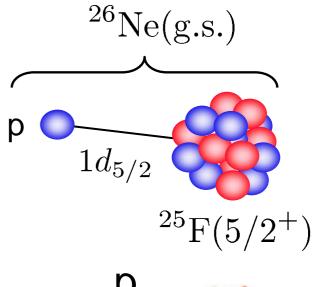
S(...) is the <u>spectroscopic factor</u>  $\leftarrow$  a structure calculation

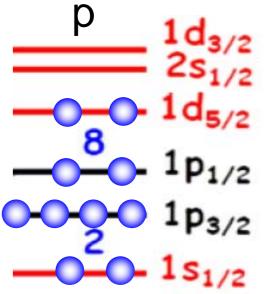
#### Bound states – shell model overlaps

$$\langle \vec{r},^{25} \text{Ne}(5/2^+, E^*)|^{26} \text{Ne}(0^+, \text{g.s.}) \rangle$$

USDA sd-shell model overlap from e.g. OXBASH (*Alex Brown et al.*). Provides spectroscopic factors but **not** the bound state radial wave function.







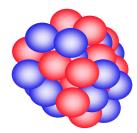
#### Bound states – use mean field information

```
IA,IZ =
INPUT VALUES
 ---- Neutron bound state results
                  IE
                     OCC
 1 1 s 1/2 -26.757 1 2.00
                           36.70 35.28
 2 1 p 3/2 -16.883 1 4.00
                           36.70
                                 35.80
 3 1 p 1/2 -12.396 1 2.00
                           36.70
                                 36.04
 4 1 d 5/2 -6.166 1 6.00
                           36.70
                                 36.37
 5 1 d 3/2 -0.109 1 0.00 36.70
                                 36.69
 6 2 s 1/2 -3.360 1 2.00 36.70
                                 36.52
 7 1 f 7/2 -0.200 3 0.00 46.02
                                 46.01
 8 1 f 5/2 -0.200 3
                     0.00 60.56
                                 60.55
 9 2 p 3/2
            -0.2003
                     0.00 48.10
                                  48.09
---- Neutron single-particle radii -----
```

But must make small correction as HF is a fixed centre calculation

$$\langle r^2 \rangle = \frac{A}{A-1} \langle r^2 \rangle_{HF}$$

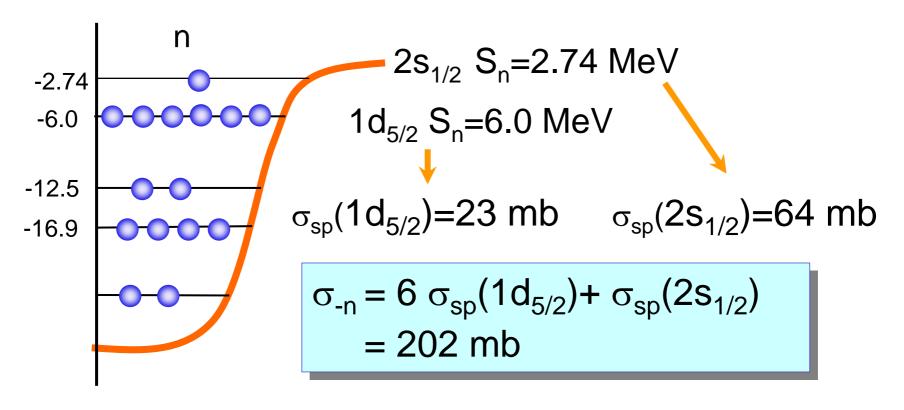
```
R(2)
                  R(4)
                         OCC
                                rho(8.9) rho(9.9) rho(10.9)
                               0.848E-09 0.706E-10 0.600E-11
1 1 s 1/2 2.274
                2.575
                        2.000
2 1 p 3/2
         2.863
                3.133 4.000
                               0.188E-07 0.244E-08 0.325E-09
3 1 p 1/2
         2.954
                3.268
                               0.727E-07 0.122E-07 0.210E-08
                        2.000
4 1 d 5/2
          3.434
                3.757 6.000
                               0.524E-06 0.129E-06 0.327E-07
5 1 d 3/2
         4.662 6.063 0.000
                               0.131E-04 0.675E-05 0.371E-05
6 2 s 1/2
          4.172 4.895 2.000
                               0.769E-05 0.278E-05 0.102E-05
 1 f 7/2
          3.865 4.440 0.000 0.324E-05 0.134E-05 0.600E-06
8 1 f 5/2
         3.890 4.477 0.000 0.341E-05 0.141E-05 0.631E-06
9 2 p 3/2
          6.815
                8.635
                        0.000
                               0.451E-04 0.270E-04 0.167E-04
```



$$^{24}O(g.s.)$$

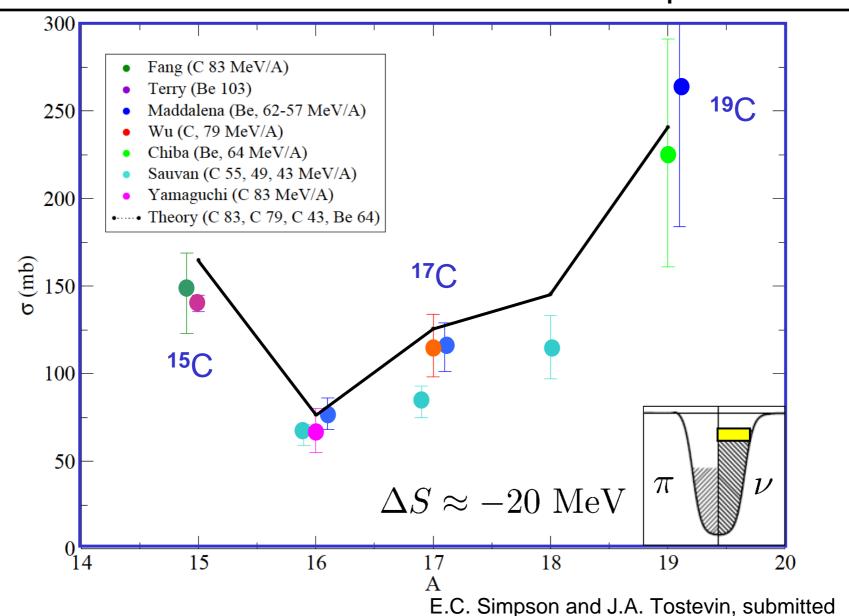
#### Orientation - extreme sp model - inclusive sigma

# Single neutron removal from $^{23}O \equiv [1d_{5/2}]^6 [2s_{1/2}]$

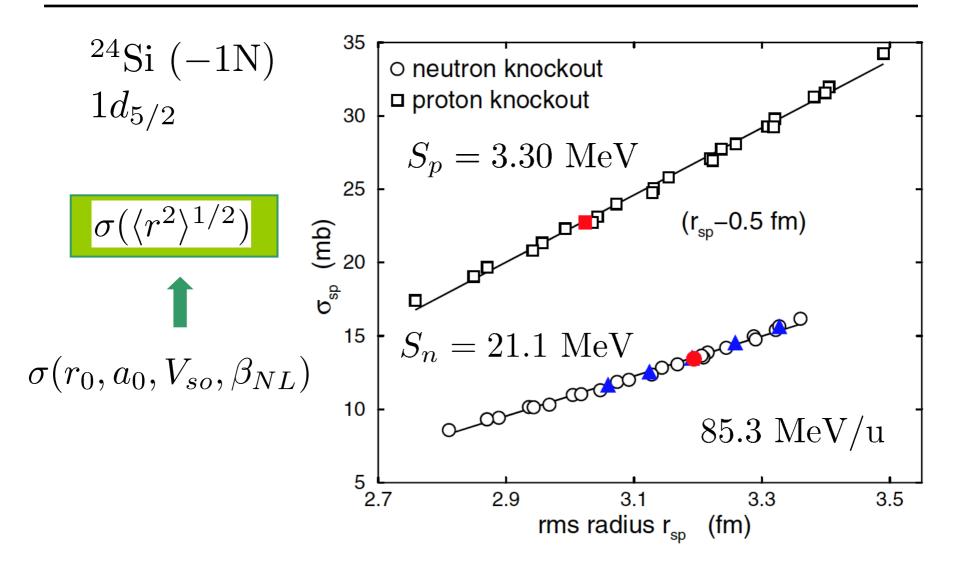


Measurement at RIKEN [Kanungo et al. PRL 88 ('02) 142502] at 72 MeV/nucleon on a  $^{12}$ C target;  $\sigma_{-n} = 233(37)$ mb

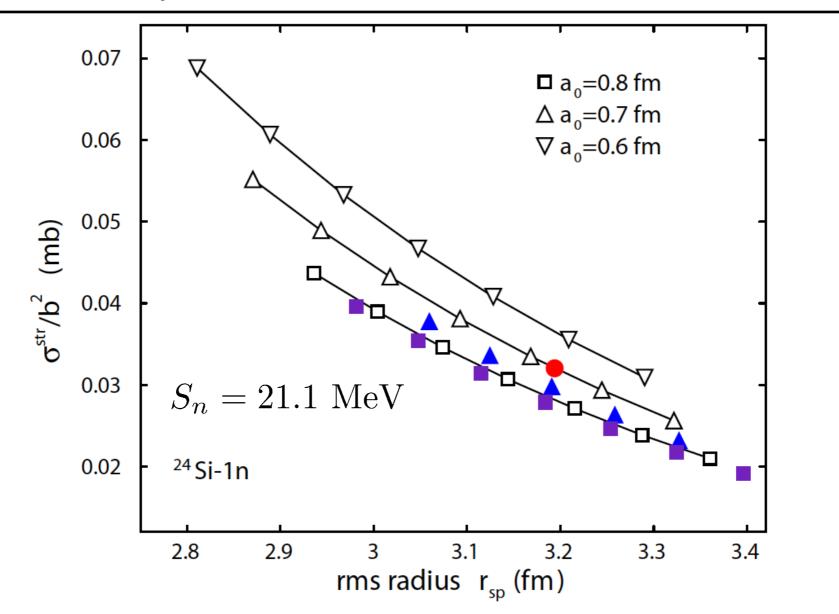
# Inclusive neutron removal – <sup>15-19</sup>C isotopes



# Overlap function sensitivity: Hartree Fock 'sizes'

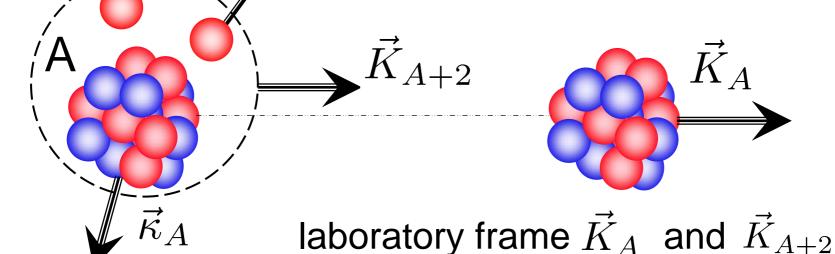


## Sensitivity to ANC – or more?



#### Sudden 2N removal from the mass A residue

Sudden removal: residue momenta probe the summed momenta of pair in the projectile rest frame

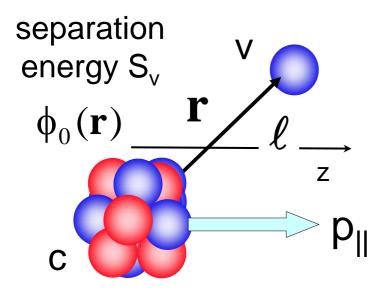


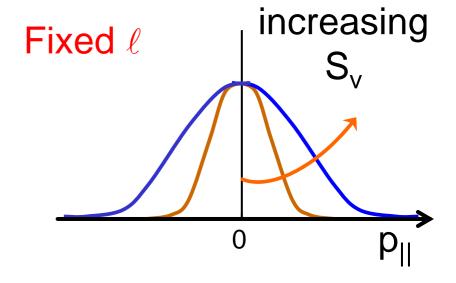
Projectile rest frame

$$\vec{K}_A = \frac{A}{A+2}\vec{K}_{A+2} - [\vec{\kappa}_1 + \vec{\kappa}_2]$$

and component equations

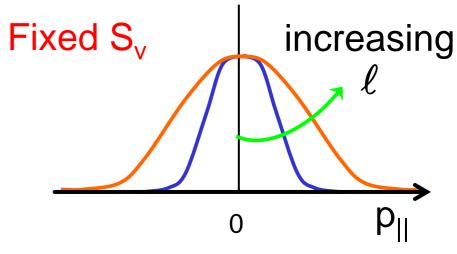
#### Measurement of the residue's momentum





consider momentum components  $p_{||}$  of the core parallel to the beam direction, in the projectile rest frame

$$\Delta p \Delta x > \hbar/2$$



#### Residue momentum distributions after knockout

$$\sigma_{str} = \frac{1}{2l+1} \sum_{m} \int d^2b \, \langle \psi_{lm} || S_c(b_c) |^2 (1-|S_n(b_n)|^2) |\psi_{lm} \rangle$$

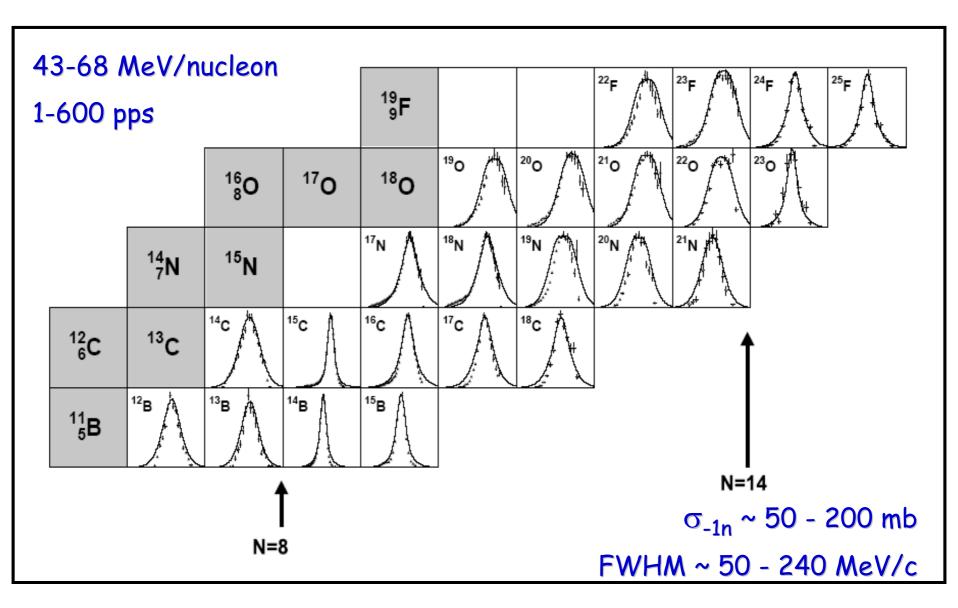
$$= \frac{1}{2l+1} \sum_{m} \int d^2b_n \, (1-|S_n(b_n)|^2) \langle \psi_{lm} |S_c^* \, S_c |\psi_{lm} \rangle$$
In projectile rest frame:
$$\frac{1}{(2\pi)^3} \int d\vec{k}_c |\vec{k}_c \rangle \langle \vec{k}_c | = 1$$

In projectile rest frame:

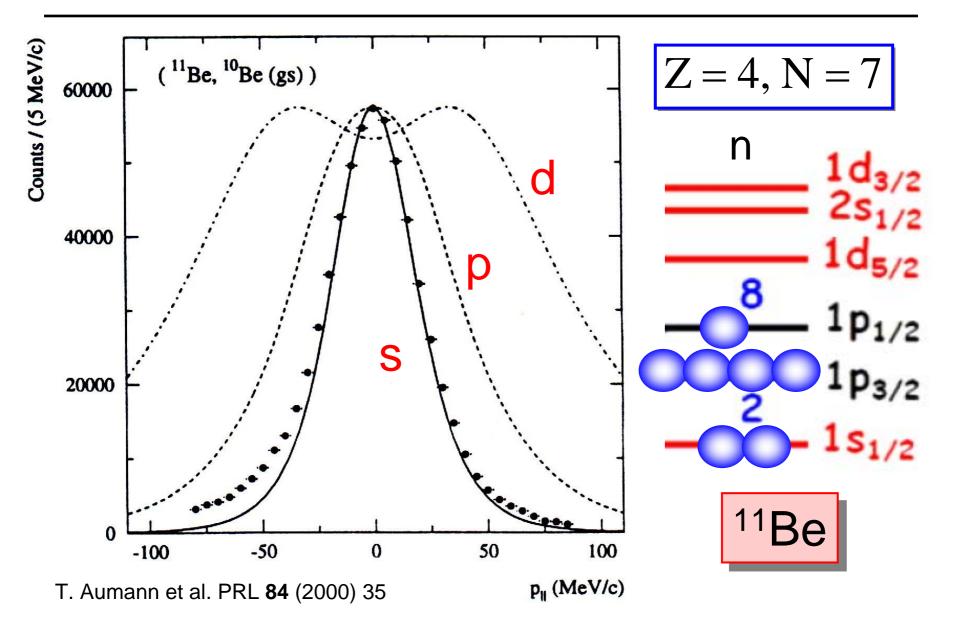
$$\frac{d\sigma_{str}}{d^3k_c} = \frac{1}{(2\pi)^3} \frac{1}{2l+1} \sum_{m} \int d^2b_n [1 - |S_n(b_n)|^2]$$

$$\times \left| \int d^3r e^{-i\mathbf{k}_c \cdot \mathbf{r}} S_c(b_c) \psi_{lm}(\mathbf{r}) \right|^2$$

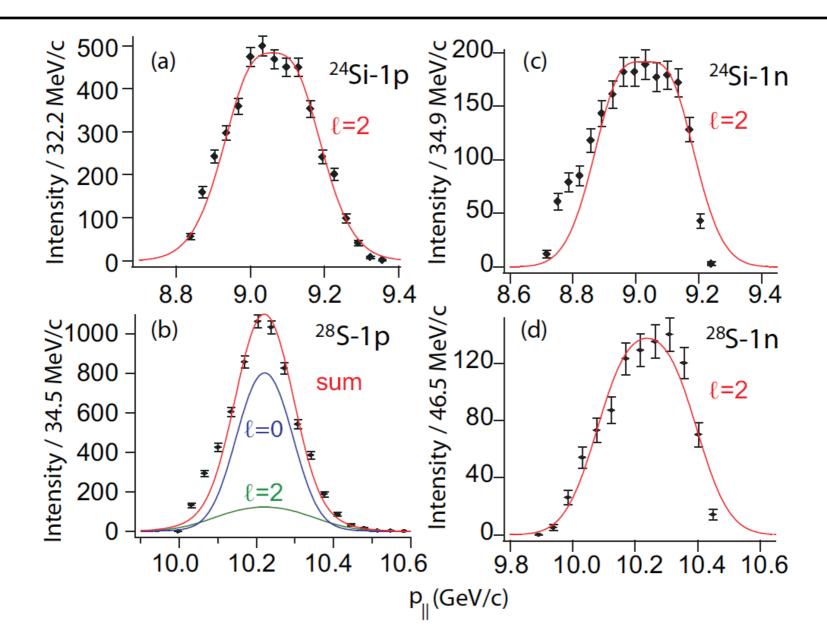
# Systematics show shell effects

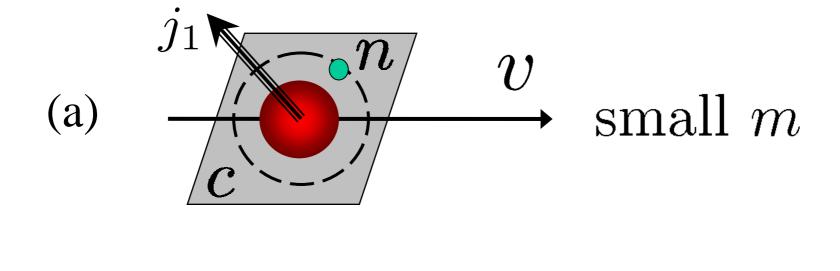


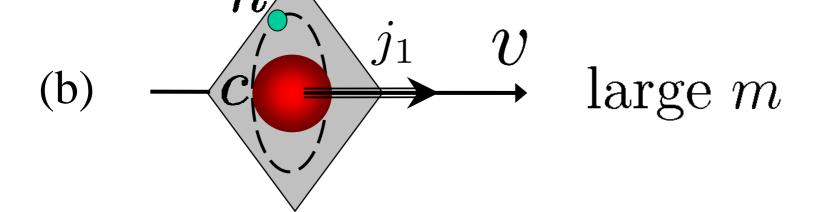
### Residue momentum <sup>11</sup>Be→ <sup>10</sup>Be – 2s intruder



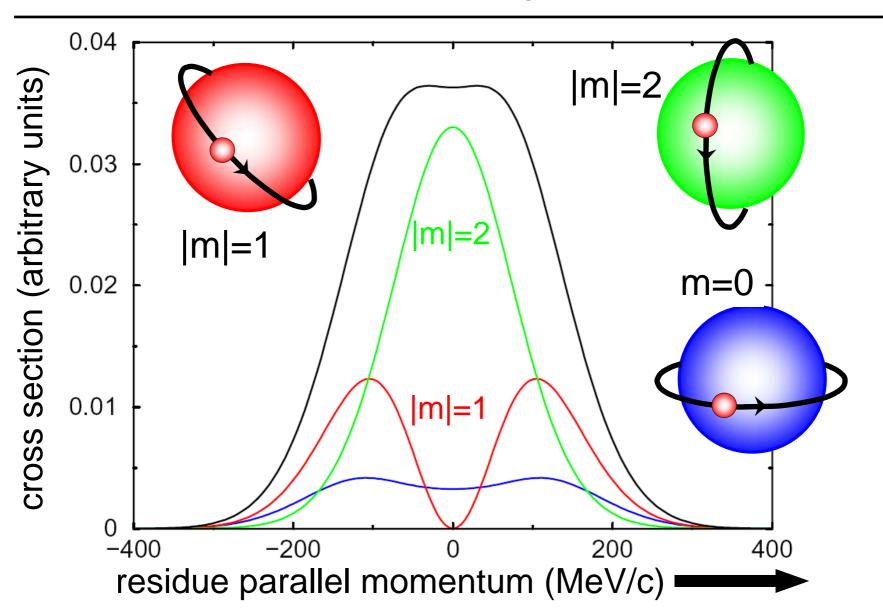
#### and – their momentum also distributions look OK



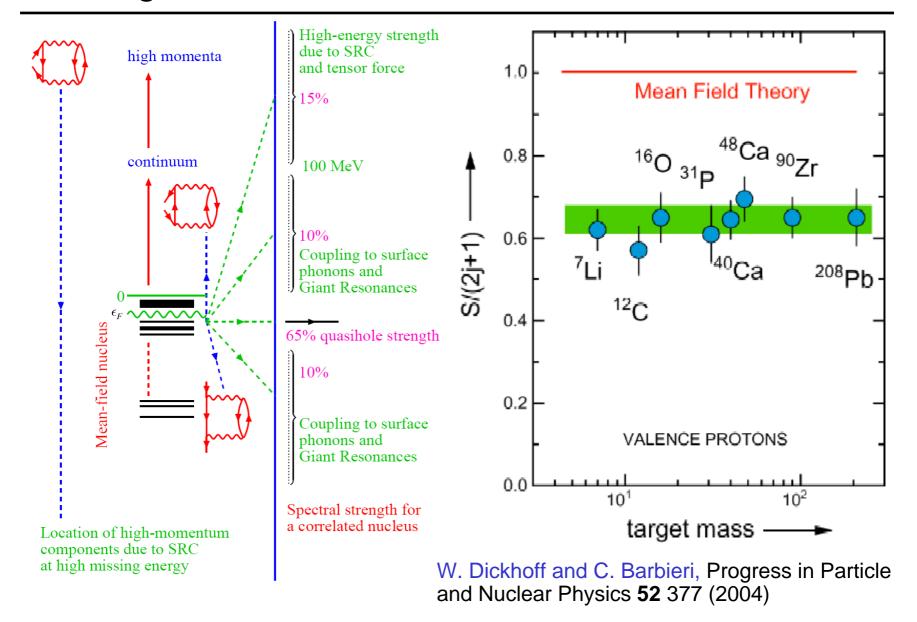




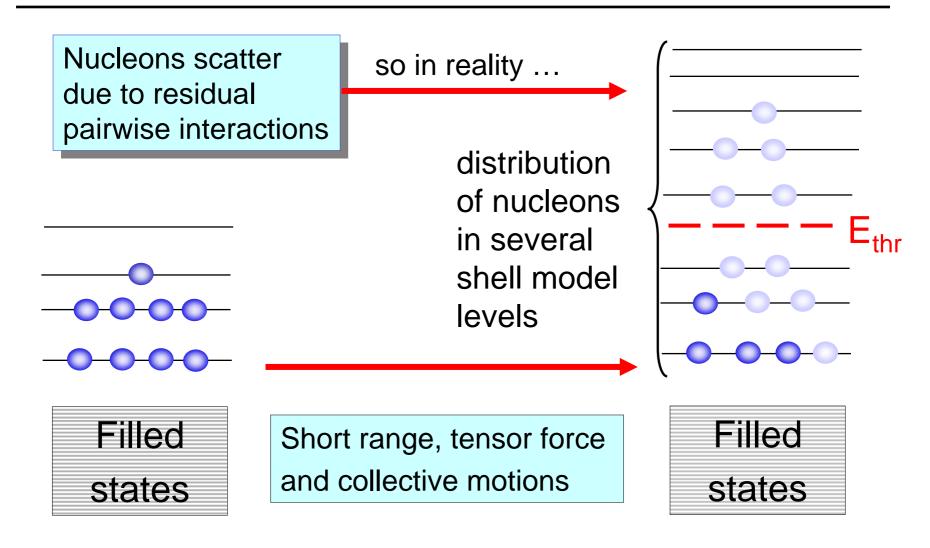
# One nucleon knockout – <sup>28</sup>Mg (–p, $\ell$ =2) 82A MeV



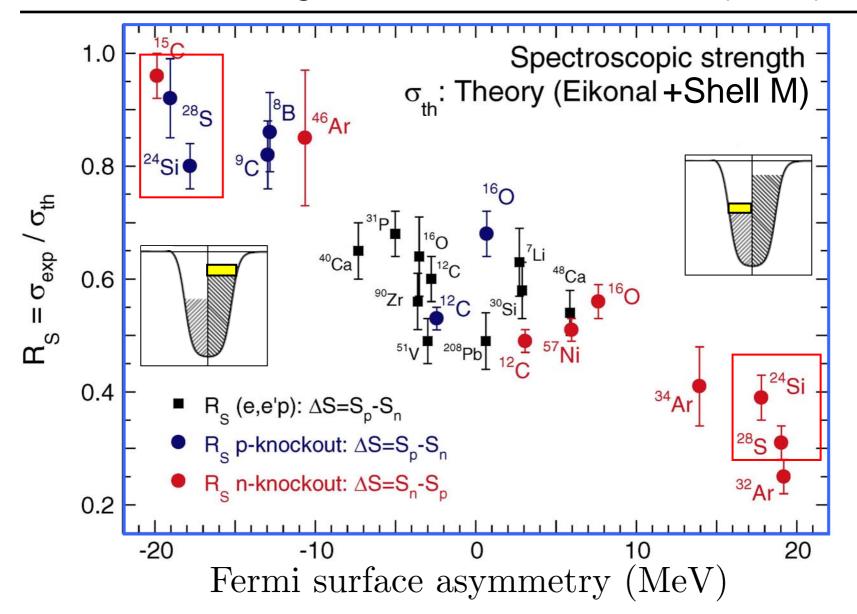
### Strength from e-induced knockout – stable nuclei



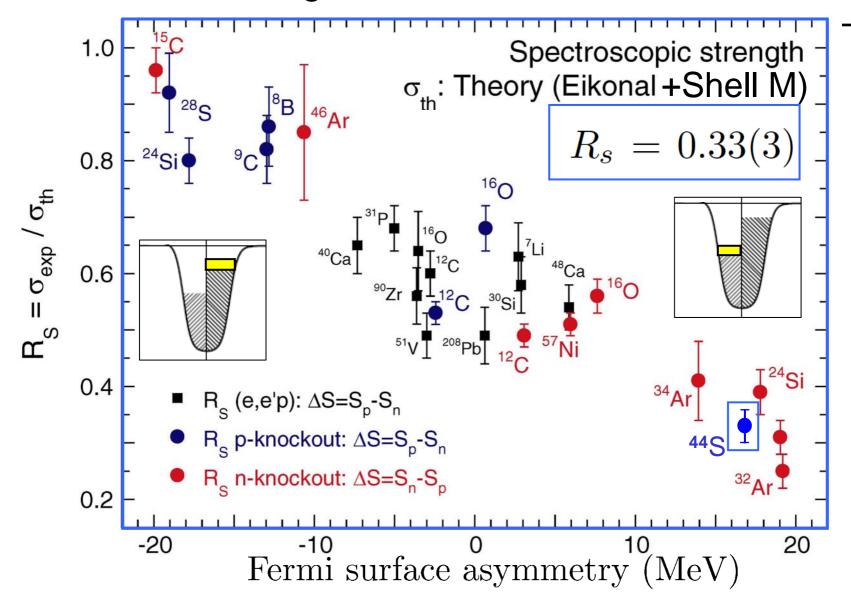
### Modern 'shell model' calculations do much more ....



## Removal strengths at the Fermi surface (2008)



### Removal strengths at the Fermi surfaces – 44S



L.A. Riley et al., Phys Rev C 78, 011303(R) 2008