

TALENT Course 6: Theory for exploring nuclear reaction experiments

Fusion reactions and coupled channels and breakup effects

GANIL, 1st-19th July 2013.

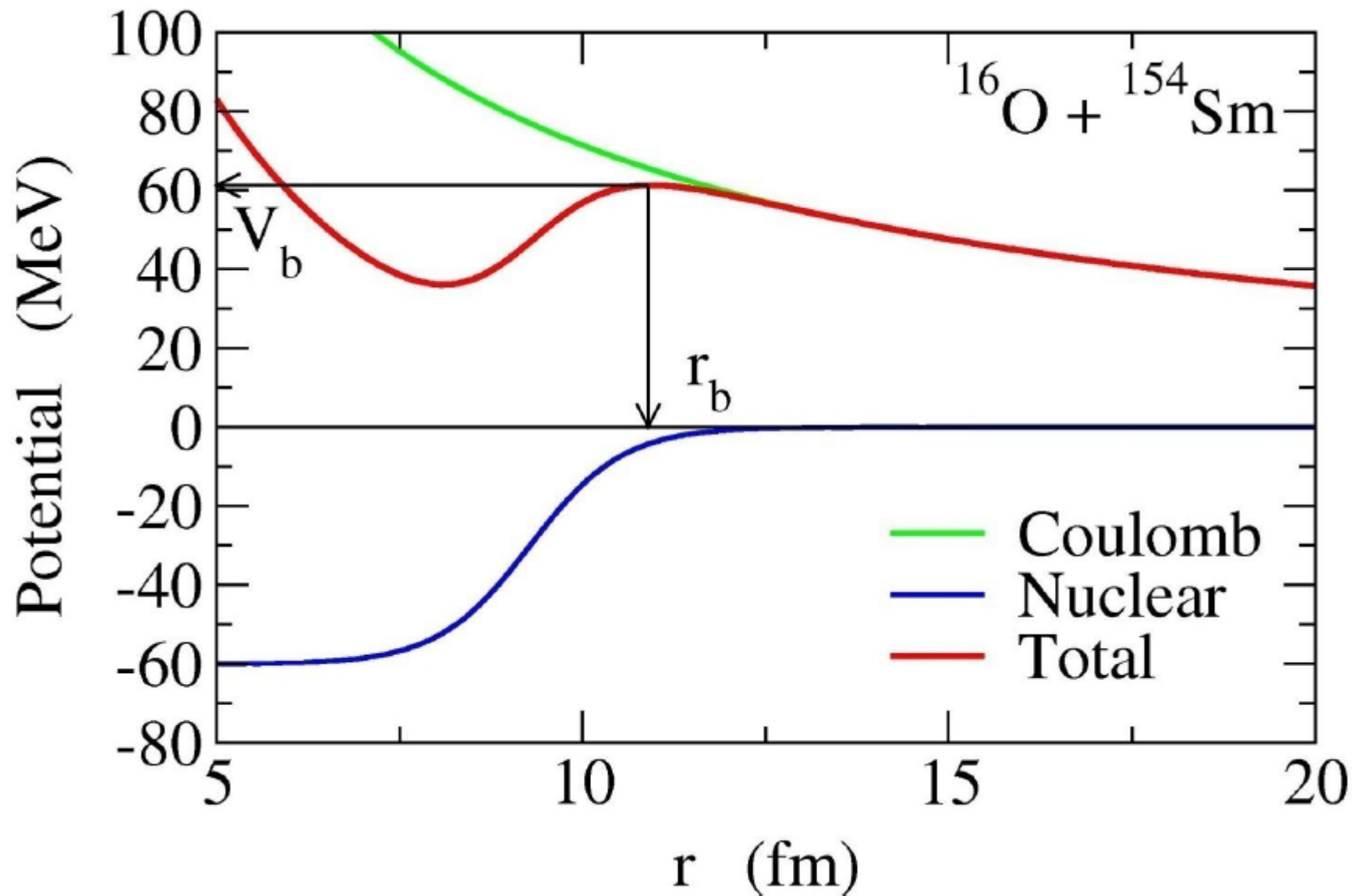
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Faculty of Engineering and Physical Sciences
University of Surrey, UK



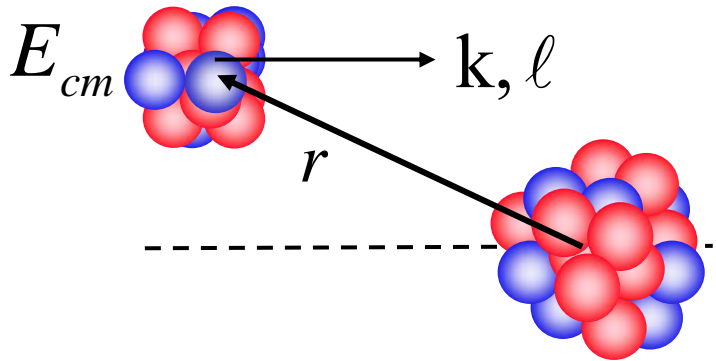
Session aims:

1. To discuss the physics of barrier-passing models of heavy-ion fusion reactions at energies near-to and well below the Coulomb barrier. Their theoretical description and what is expected and observed experimentally.
2. The importance and the treatment of collective-type channel coupling effects and the interrogation of such effects by use of the distribution-of-barriers concept.
3. The possible roles of other degrees of freedom, such as breakup channels and transfer reactions, in the case of a neutron rich or weakly-bound projectile nucleus. To enable model estimates of these phenomena.

Tunnelling

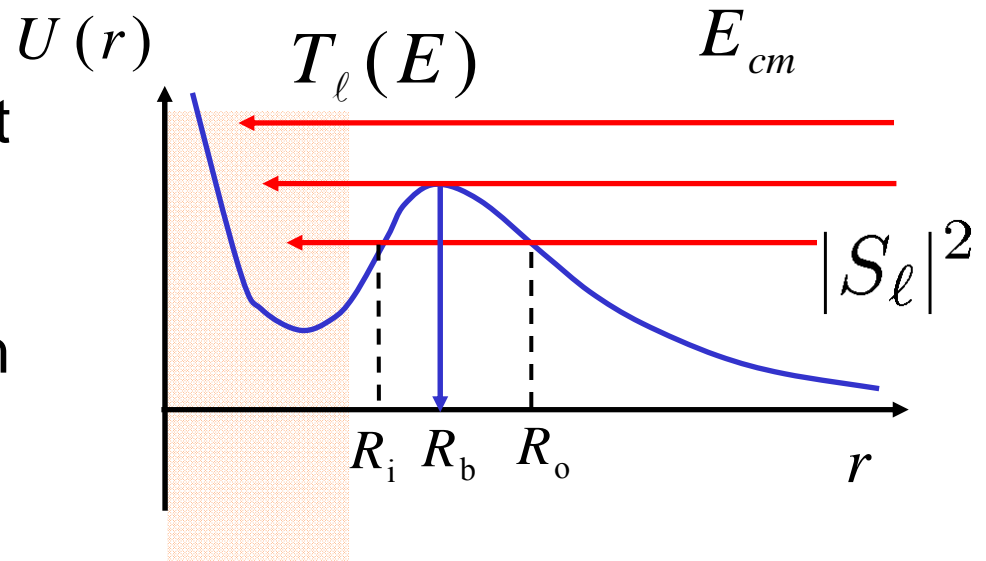


Barrier passing models of fusion



an imaginary part in $U(r)$, at short distances, can be included to absorb all flux that passes over or through the barrier – assumed to result in fusion

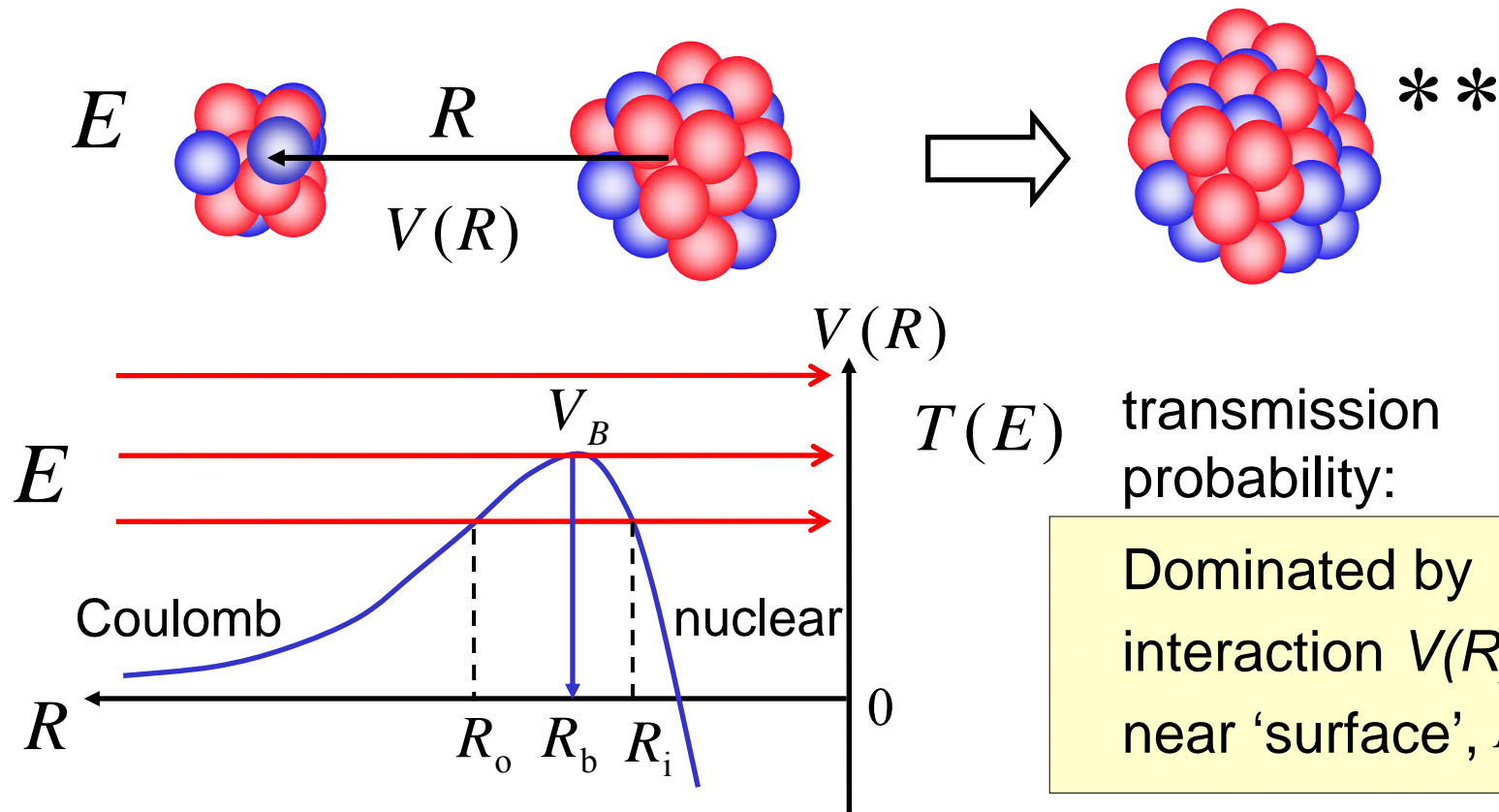
Theoretical ideas for simple (barrier passing) models of nucleus-nucleus fusion reactions



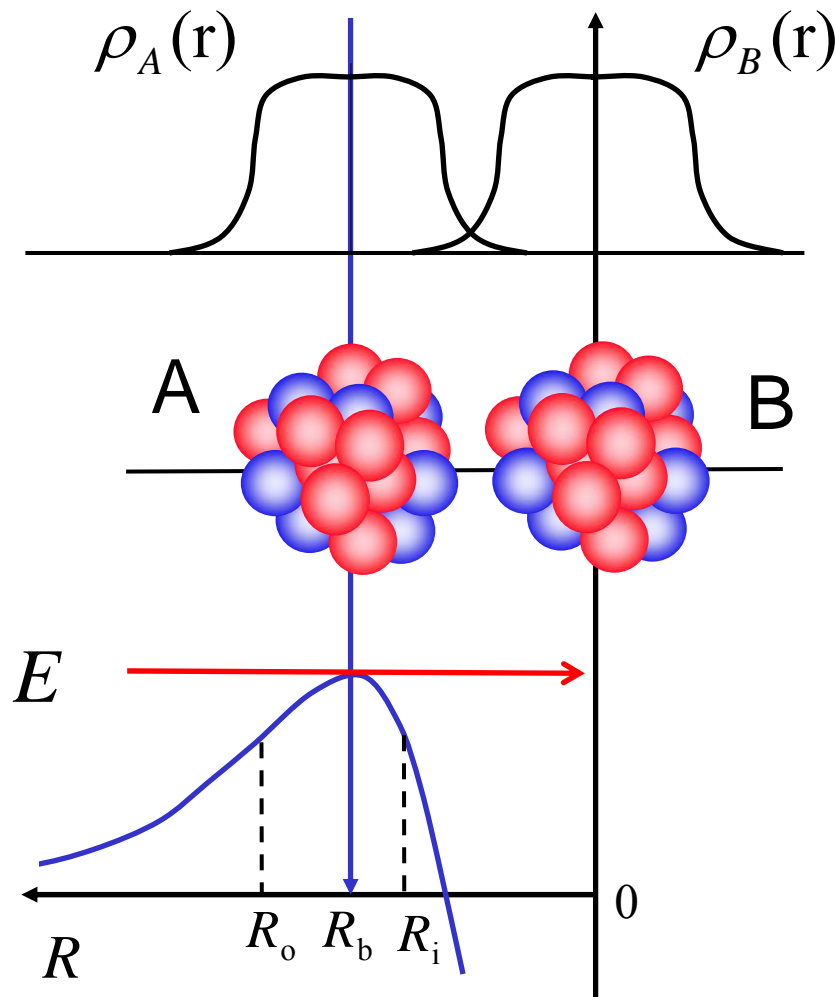
$$\sigma(E) = \sum_{\ell=0}^{\infty} \sigma_{\ell}(E) = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1)(1 - |S_{\ell}|^2)$$

Complete fusion process – static picture

Nuclear astrophysics
Heavy element synthesis



Barrier radii and nuclear densities - surfaces



Fusion will be probe and be sensitive to:

nuclear binding (tails of the nuclear densities),
nuclear structure (tails of the single particle wave functions)

but also expect sensitivity and complications due to the reaction dynamics – intrinsically surface dominated

From two-body asymptotic to massive overlap

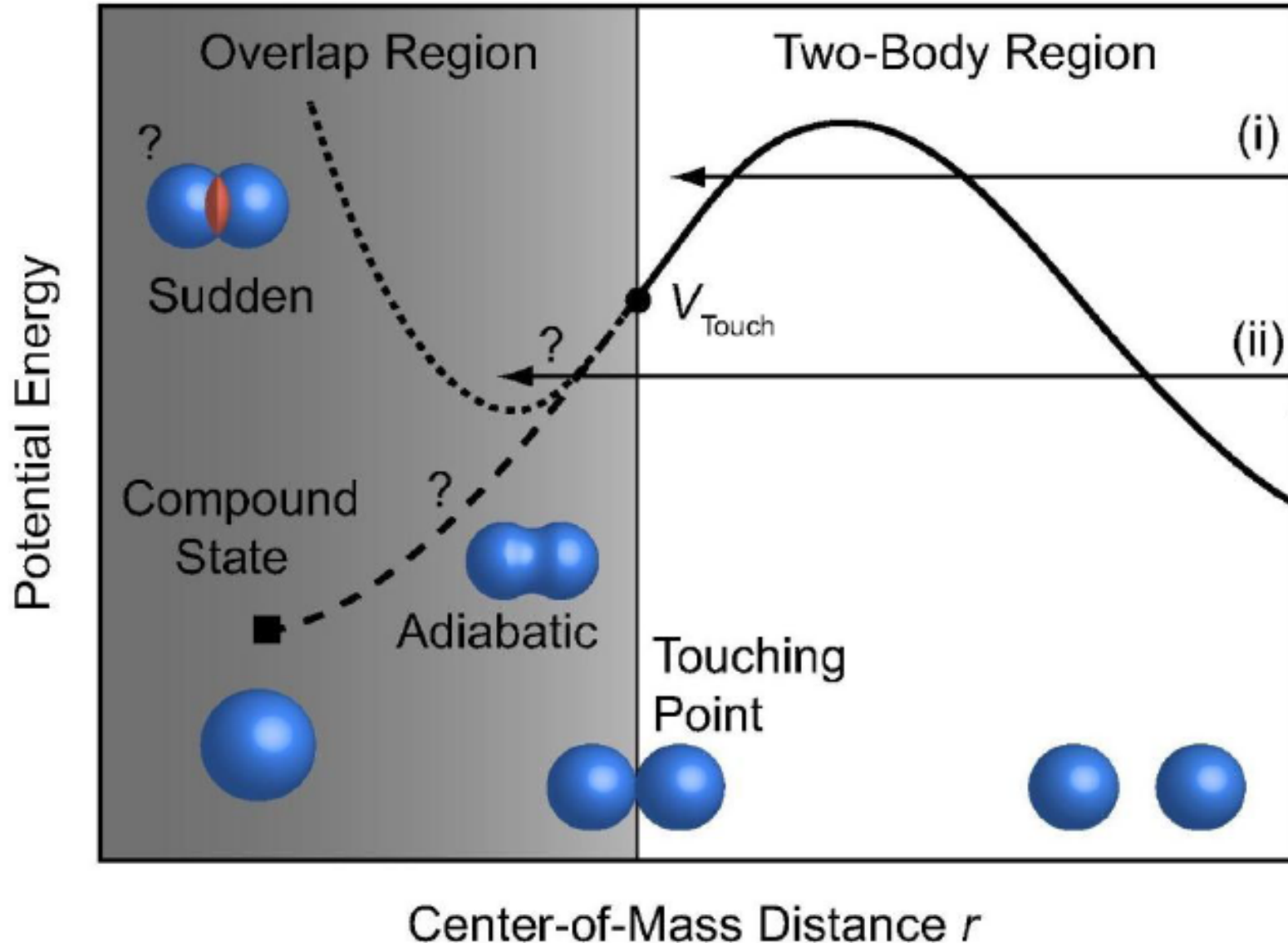
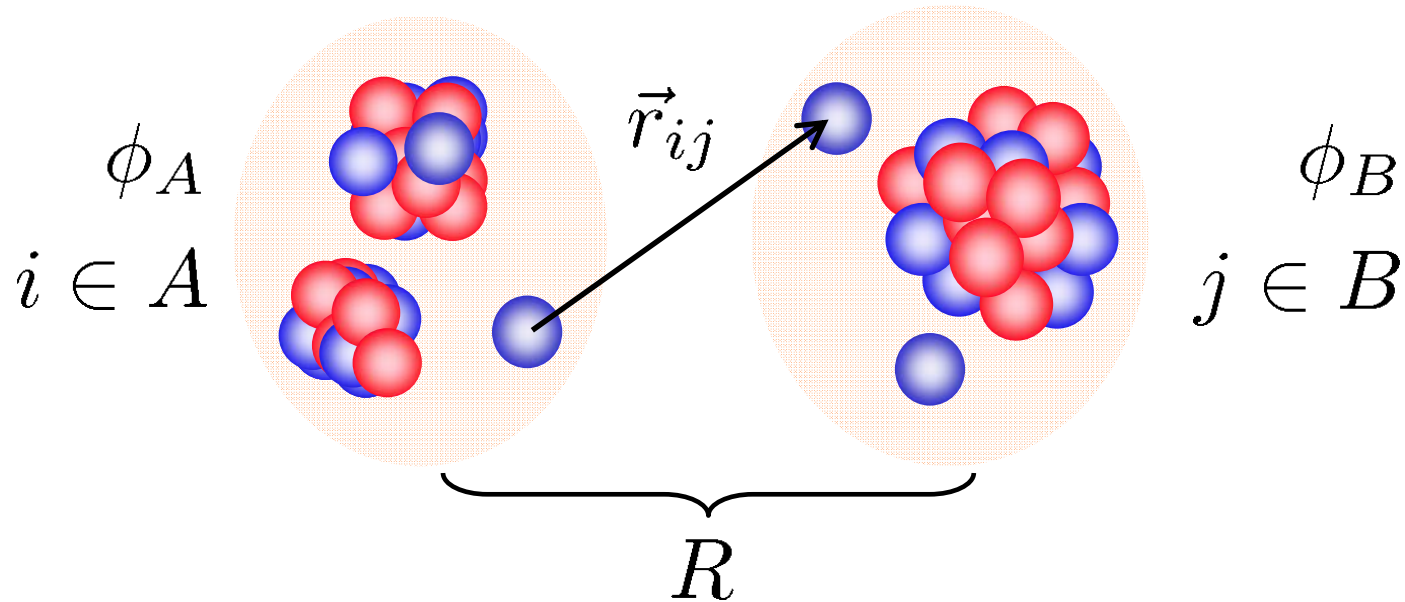


Figure from K. Hagino

Interactions (barriers) from folding models

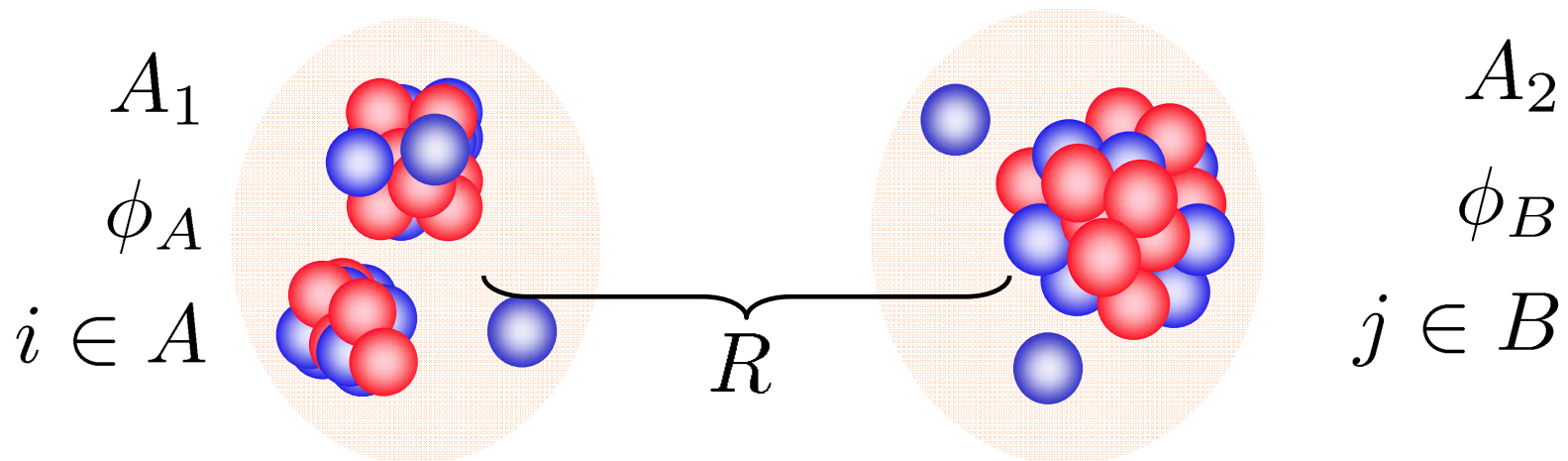
Diagonal interactions



$$V_F(R) = \langle \phi_A \phi_B | \sum_{ij} V_{ij}(\vec{r}_{ij}) | \phi_A \phi_B \rangle$$

Pair-wise interactions integrated (averaged) over the internal motions of the two composites – like interaction between two extended charge densities

Double folding models – useful identities



$$V_F(R) = \langle \phi_A \phi_B | \sum_{ij} v_{NN}(\vec{r}_{ij}) | \phi_A \phi_B \rangle$$

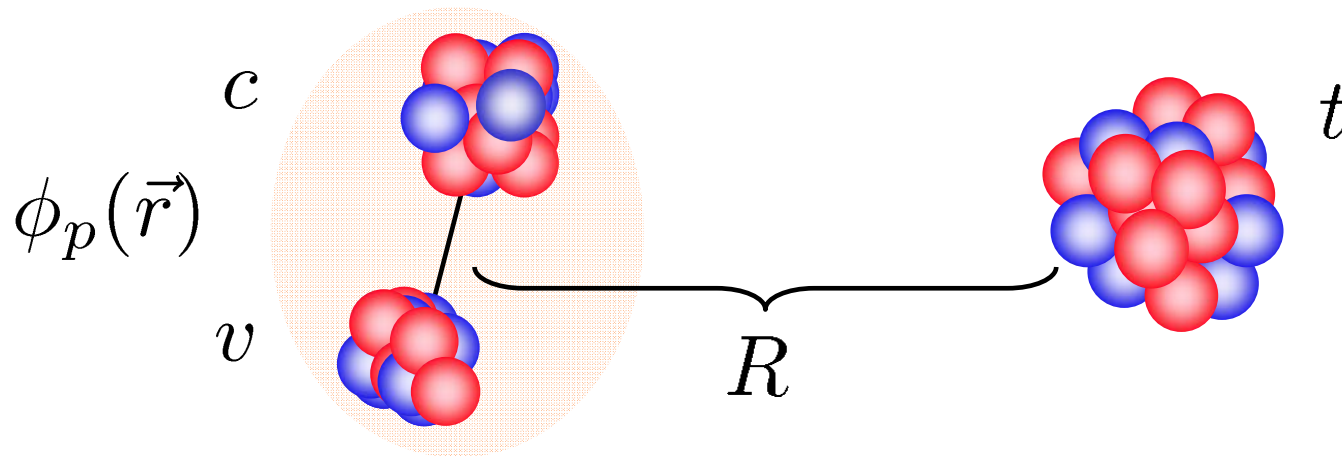
$$J_{V_F} = A_1 A_2 J_{v_{NN}}$$

$$\langle r^2 \rangle_{V_F} = \langle r^2 \rangle_A + \langle r^2 \rangle_B + \langle r^2 \rangle_{v_{NN}}$$

$$J_f = \int d\vec{r} f(r), \quad \langle r^2 \rangle_f = \int d\vec{r} r^2 f(r) / J_f$$

proofs by taking Fourier transforms of each element

Cluster folding models – useful identities



$$V_F(R) = \langle \phi_p | V_{ct}(\vec{r}_{ct}) + V_{vt}(\vec{r}_{vt}) | \phi_p \rangle$$

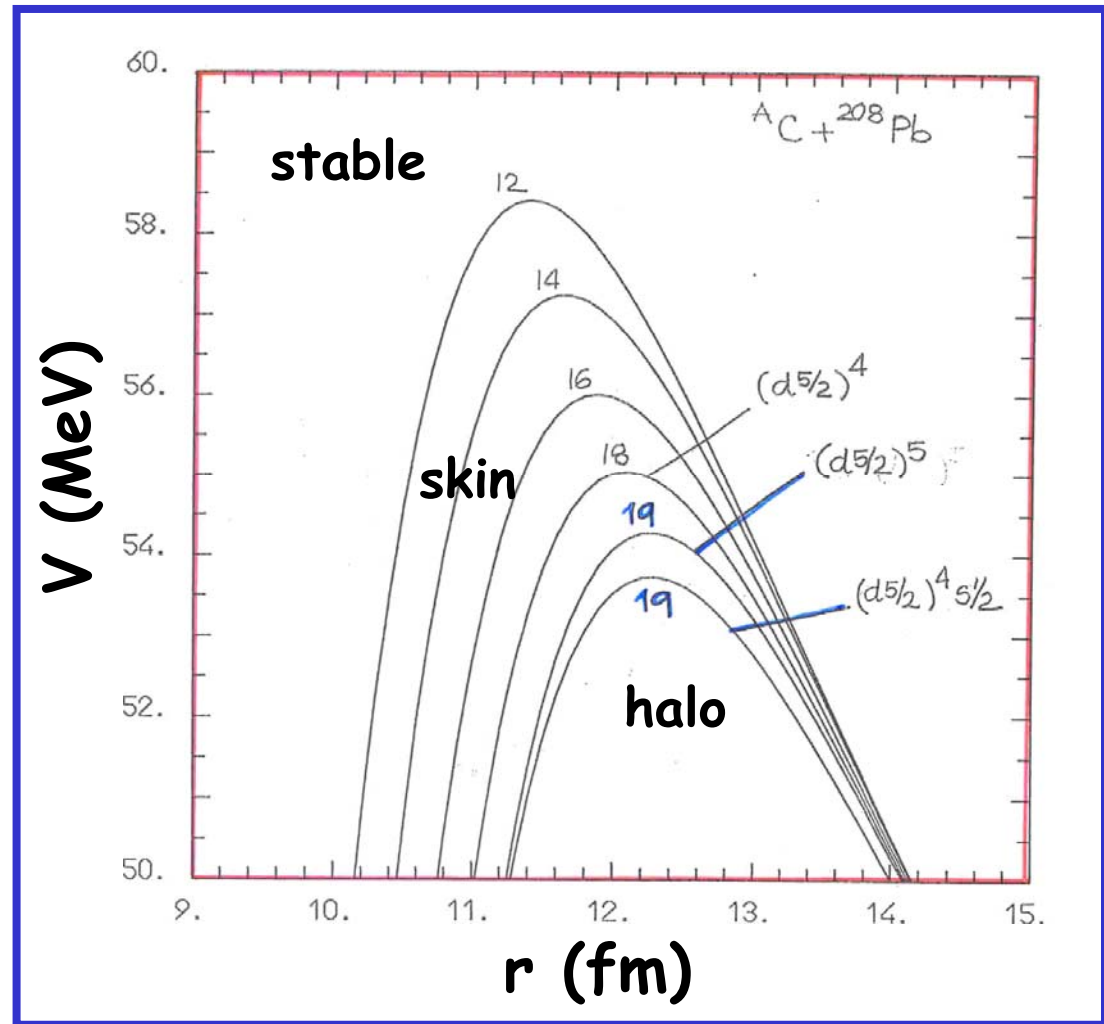
$$J_{V_F} = J_{V_{ct}} + J_{V_{vt}}$$
$$\langle r^2 \rangle_{V_F} = \frac{A_c}{A_p} \langle r^2 \rangle_{V_{ct}} + \frac{A_v}{A_p} \langle r^2 \rangle_{V_{vt}} + \frac{A_c A_v}{A_p^2} \langle r^2 \rangle_{\phi_p}$$
$$J_f = \int d\vec{r} f(r), \quad \langle r^2 \rangle_f = \int d\vec{r} r^2 f(r) / J_f$$

proofs by taking Fourier transforms of each element

Static effects – barriers for n-rich Carbon isotopes

$A_C + {}^{208}\text{Pb}$

HF predictions



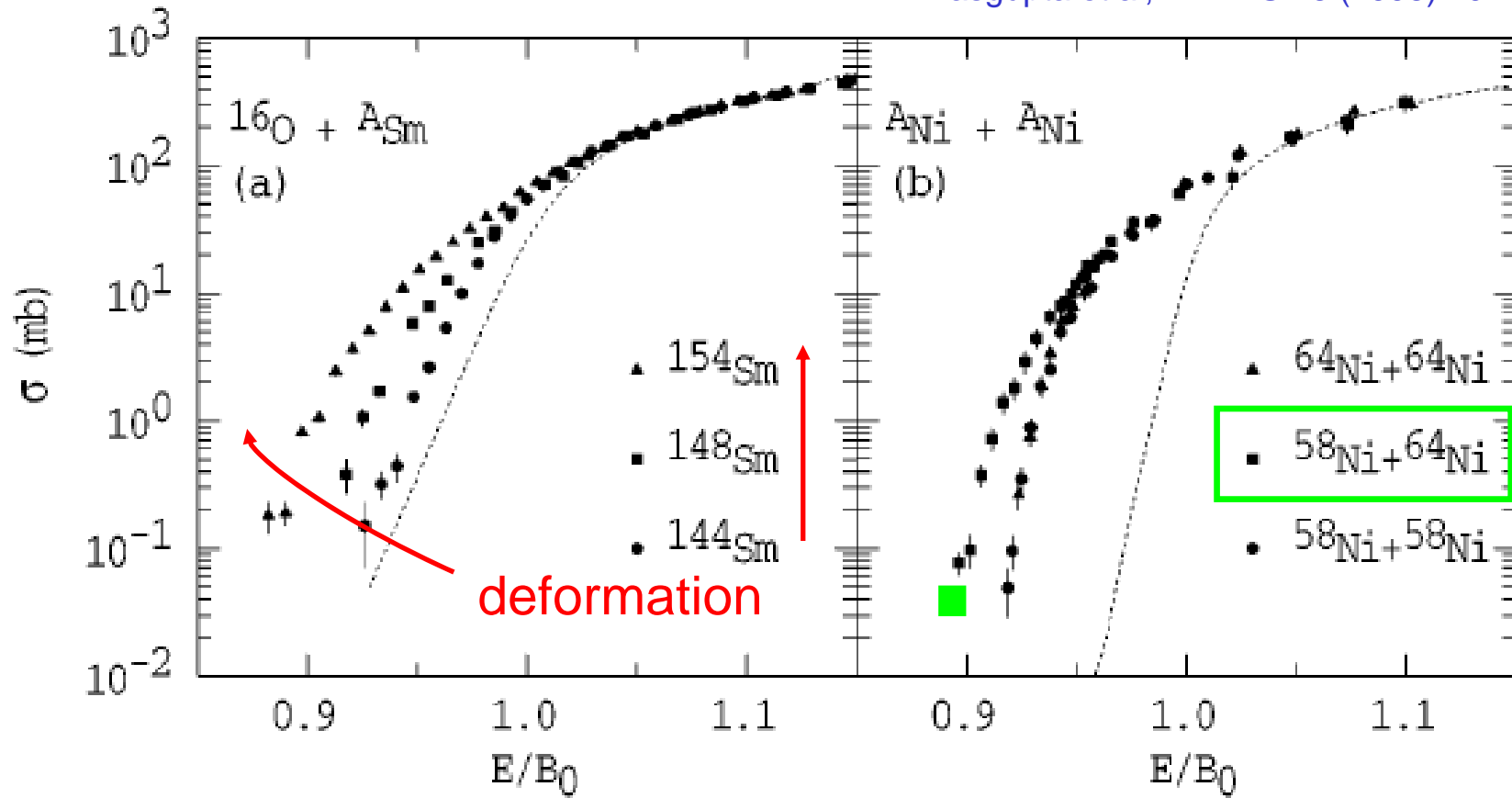
A. Vitturi, NUSTAR'05,

Challenges – potentials, thresholds and dynamics

- Expect a complex interplay of *static*, density driven, and surface, *dynamical* effects
- Far below the barrier, for normally bound nuclei, direct reaction channels switch off – have opportunity to study *threshold effects* as reaction channels open and evolve as a function of energy
- Fusion expected to be a severe test of our models of nuclear structures and of treatments of direct reaction dynamics – surface sensitivity of the reaction.
- Facilities available for sophisticated and very precise experiments - ANU (Canberra), USP, Legnaro, etc.
- Weakly bound systems are different – do break-up channels turn off below the barrier? What can we learn?

Channel coupling – indications of their importance

M.Dasgupta et al, ARNPS **48** (1998) 401



R.G. Stokstad et al, PRL **41** (1978) 465,
PRC **21** (1980) 2427.

M. Beckerman et al, PRL **45** (1980) 1472,
PRC **23** (1981) 1581, PRC **25** (1982) 837.

Changing the potential depth is not a solution

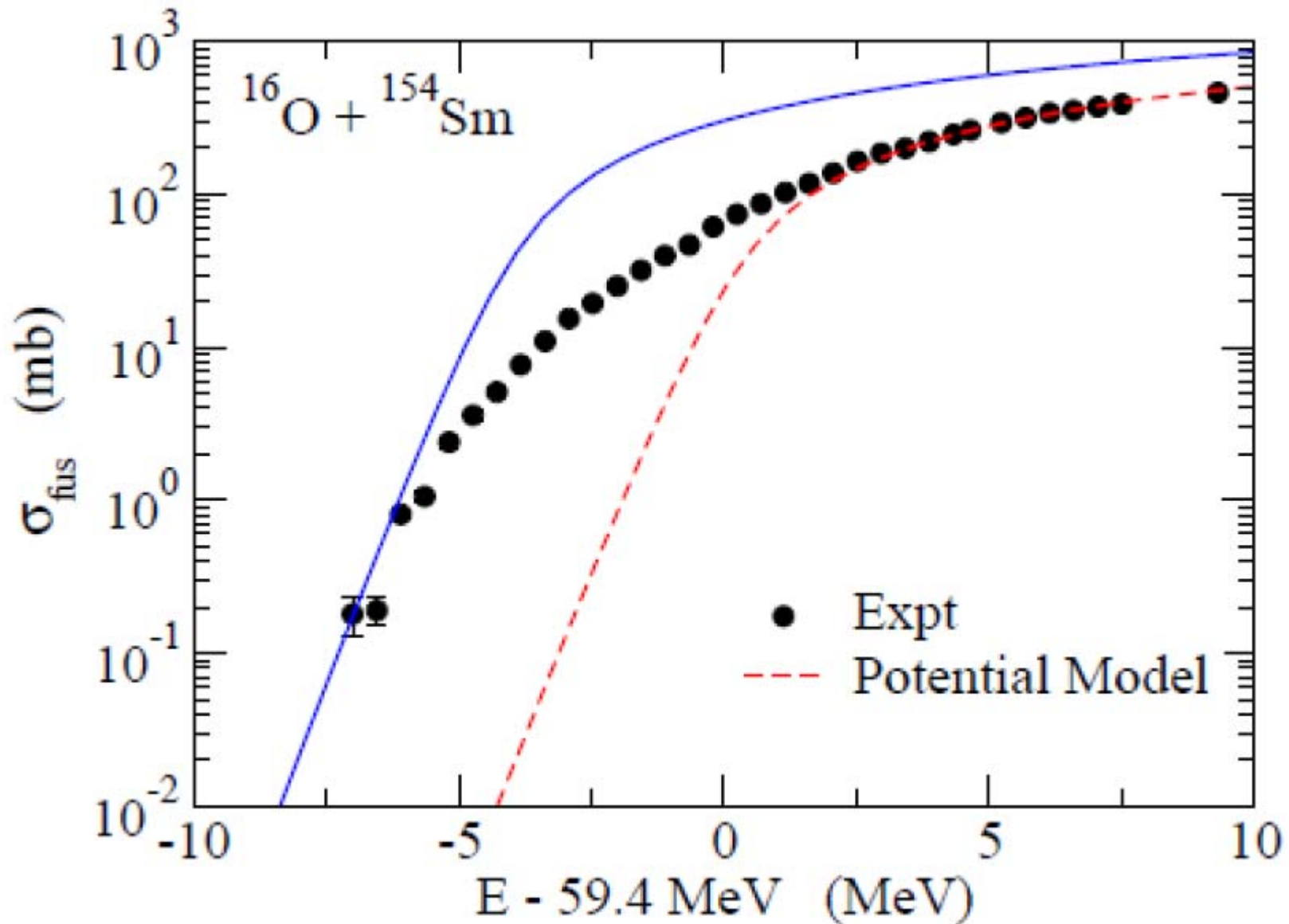
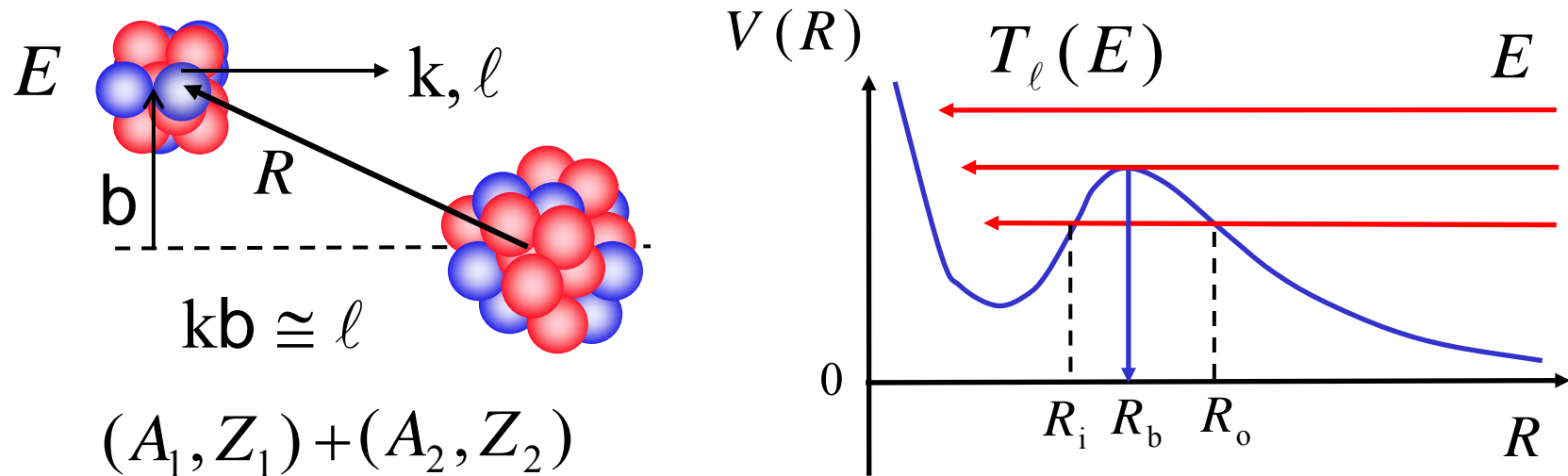


Figure from K. Hagino

Complete fusion - expectations – static model



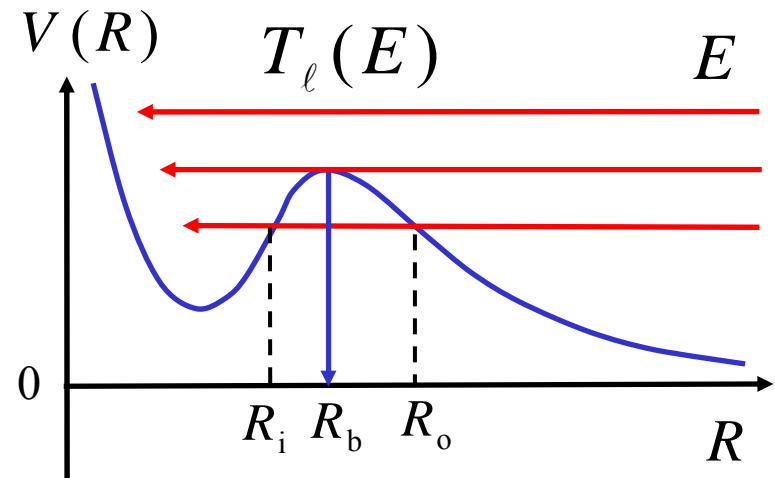
$$\sigma(E) = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1) T_\ell(E), \quad T_\ell(E) = [1 - |S_\ell|^2]$$

$$\frac{d^2 u_\ell(R)}{dR^2} + \frac{2\mu}{\hbar^2} \left[E - V(R) - \frac{\ell(\ell + 1)}{R^2} \right] u_\ell(R) = 0$$

Quantum mechanical barrier penetration

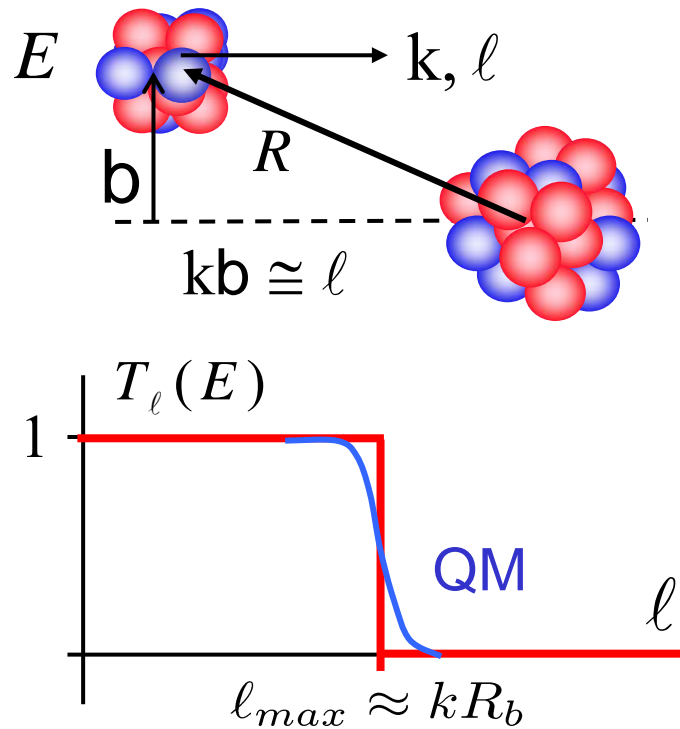
$$\frac{d^2 u_\ell(R)}{dR^2} + \frac{2\mu}{\hbar^2} \left[E - V(R) - \frac{\ell(\ell+1)}{R^2} \right] u_\ell(R) = 0$$

Numerical solutions of this QM barrier penetration problem, the solution of the radial equation for $u(R)$ and the transmission prob. - and later, more complex (coupled channels) examples, account for fusion by one of two methods:



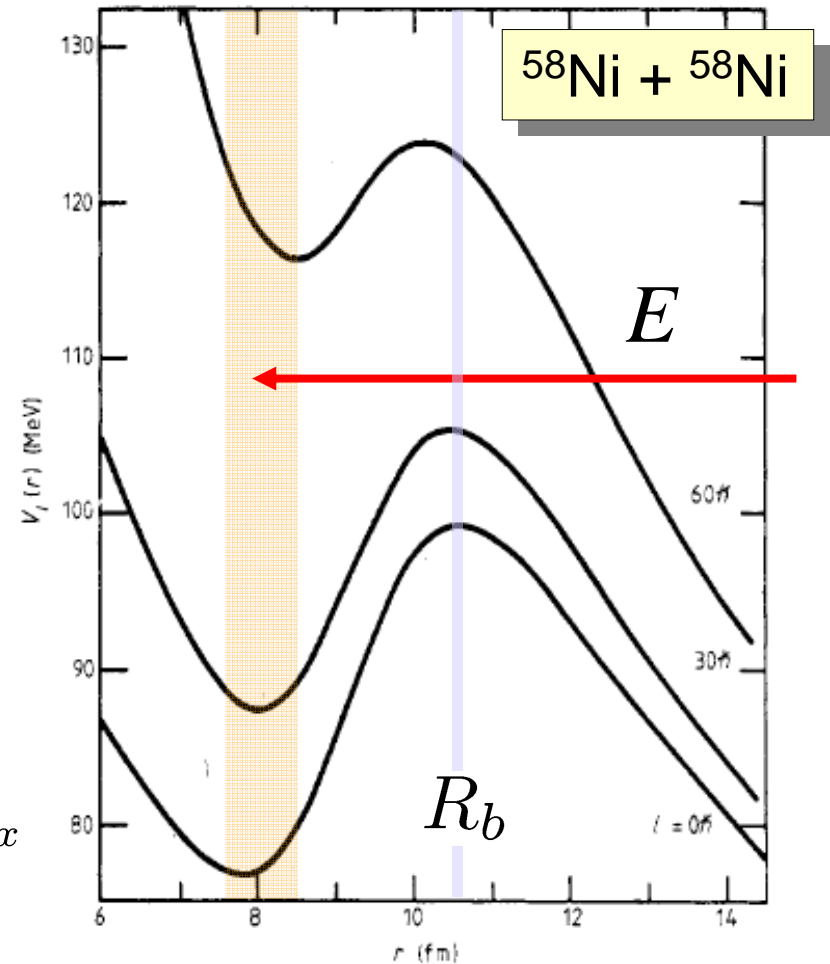
- (i) the $u_\ell(R)$ have ingoing wave boundary conditions for an $R=R_o$
No flux transmitted through the barrier is reflected [$\exp(-ikR)$]
- (ii) including a strong absorptive (imaginary) part in $V(R)$ at short distances absorbs all flux transmitted through the barrier

Angular momentum dependence of the barrier



$$\sigma_R(E) \approx \frac{\pi}{k^2} \sum_{\ell=0}^{\ell_{max}} (2\ell + 1) \approx \frac{\pi}{k^2} \ell_{max}^2$$

$$\sigma^{cf}(E) \approx \sigma_R \approx \pi R_b^2$$



M. Beckerman, Rep. Prog. Phys.
51 (1988) 1047

Formula of Wong – quadratic form barrier

$$V_\ell(R) = V_b - \frac{1}{2}\mu\omega_0^2(R - R_b)^2 + \frac{\ell(\ell + 1)\hbar^2}{2\mu R^2}$$

$$T_\ell(E) = \{1 + \exp[(2\pi/\hbar\omega_\ell)(V_\ell - E)]\}^{-1}$$

Assuming $\hbar\omega_\ell = \hbar\omega_0$

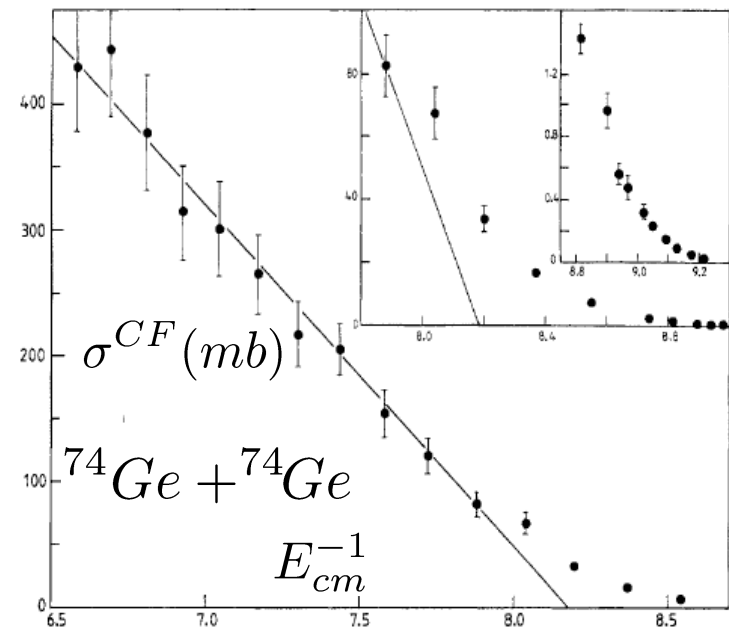
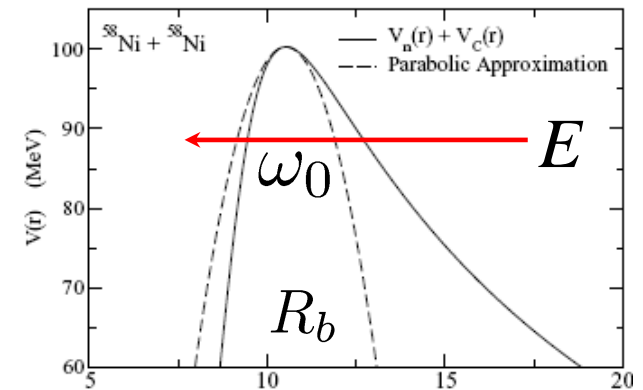
$$V_\ell = V_b + \ell(\ell + 1)\hbar^2/2\mu R_b^2$$

$$\sigma^{cf}(E) = \frac{R_b^2 \hbar \omega_0}{2E} \ln(1 + e^x)$$

$$x = (2\pi/\hbar\omega_0)(E - V_b)$$

and for $E \gg V_b$

$$\sigma^{cf}(E) = \pi R_b^2 (1 - V_b/E)$$

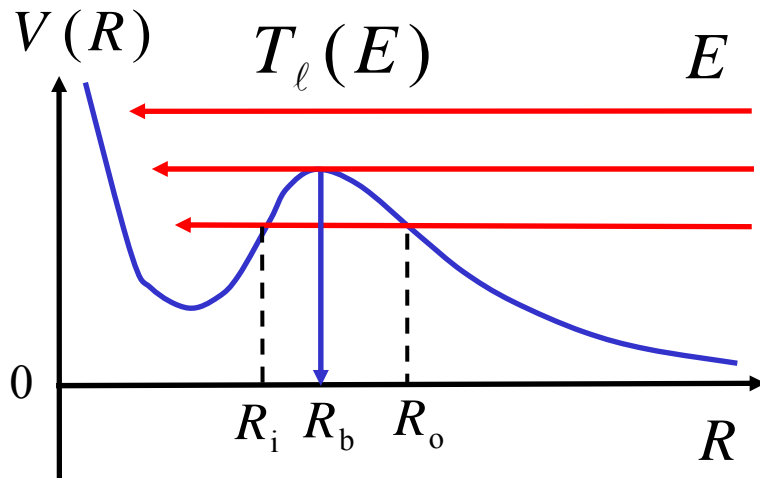


Making connection with empirical cross sections

$$T_\ell(E) \approx \left[1 + \exp \sqrt{\frac{8\mu}{\hbar^2}} \int_{R_i(\ell)}^{R_o(\ell)} dR \left\{ V(R) + \frac{\ell(\ell+1)\hbar^2}{2\mu R^2} - E \right\}^{1/2} \right]^{-1}$$

Localised barrier of height (for $\ell=0$) of $V_B = V(R_b)$

$$\frac{\ell(\ell+1)}{R^2} \approx \frac{\ell(\ell+1)}{R(E)^2} \rightarrow T_\ell(E) \approx T_0 \left(\underbrace{E - \frac{\ell(\ell+1)\hbar^2}{2\mu R(E)^2}}_{E'} \right), \quad R(E) \approx R_b$$



$$\sigma(E) = \sum_{\ell} \sigma_{\ell}(E) \rightarrow \int d\ell \sigma(\ell, E)$$

$$E\sigma(E) = \pi R(E)^2 \int_0^E dE' T_0(E')$$

$$\sigma(\ell, E) = \frac{\pi}{k^2} (2\ell + 1) T_\ell(E) (= T_0(E'))$$

Distribution of barriers – directly from the data

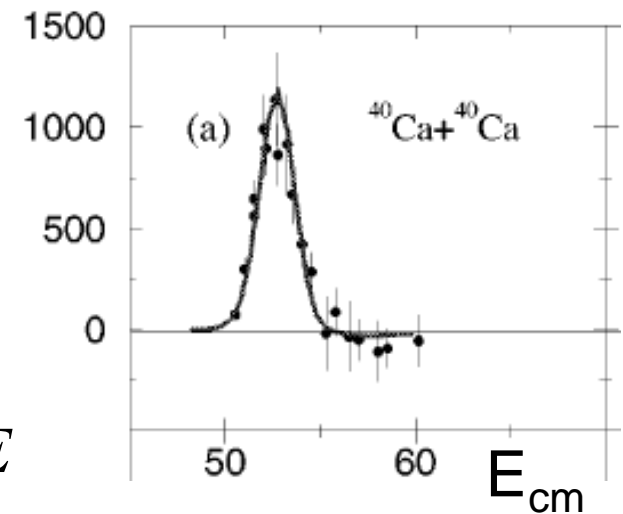
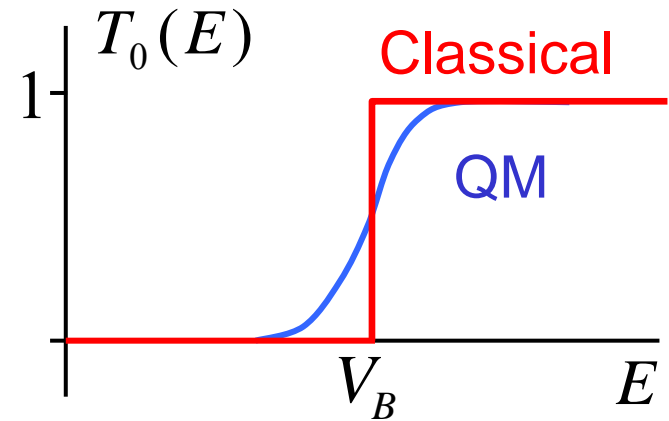
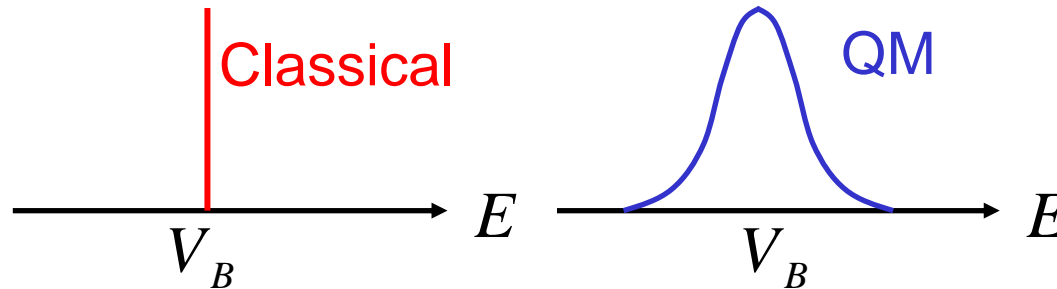
$$E\sigma(E) = \pi R(E)^2 \int_0^E dE' T_0(E')$$

Classically

$$R(E) \equiv R_b$$

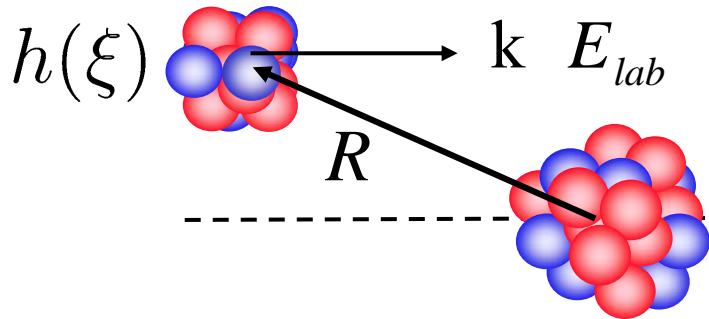
$$\begin{aligned} E\sigma(E) &= \pi R_b^2 (E - V_B), \quad E > V_B \\ &= 0, \quad E < V_B \end{aligned}$$

$$\frac{d^2}{dE^2} [E\sigma(E)] = \pi R_b^2 \delta(E - V_B)$$

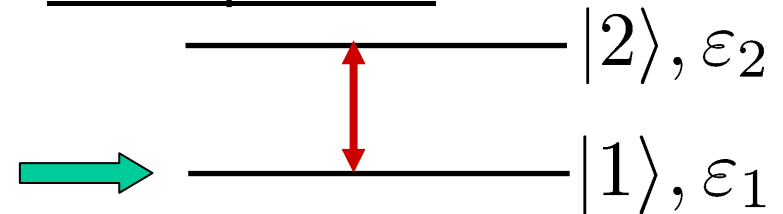


Coupled channels: for two-states problem

$$H = T_R + V(R) + h(\xi) + F(R, \xi)$$



Model problem



Two channels 1,2 – incident waves in channel 1.

$$H|\Psi\rangle = E|\Psi\rangle \quad \langle \vec{R}, \xi | \Psi \rangle = \phi_1(\vec{R}) \langle \xi | 1 \rangle + \phi_2(\vec{R}) \langle \xi | 2 \rangle$$

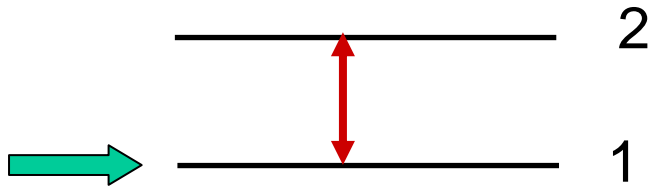
$$\begin{aligned} \langle 1 | H | \Psi \rangle &= [T_R + V(R) + \varepsilon_1 + F_{11}(R)] \phi_1(\vec{R}) + F_{12}(R) \phi_2(\vec{R}) \\ &= E \langle 1 | \Psi \rangle = E \phi_1(\vec{R}), \quad F_{ij}(R) = \langle i | F(R, \xi) | j \rangle \end{aligned}$$

and similarly for the overlap with state 2, gives coupled equations

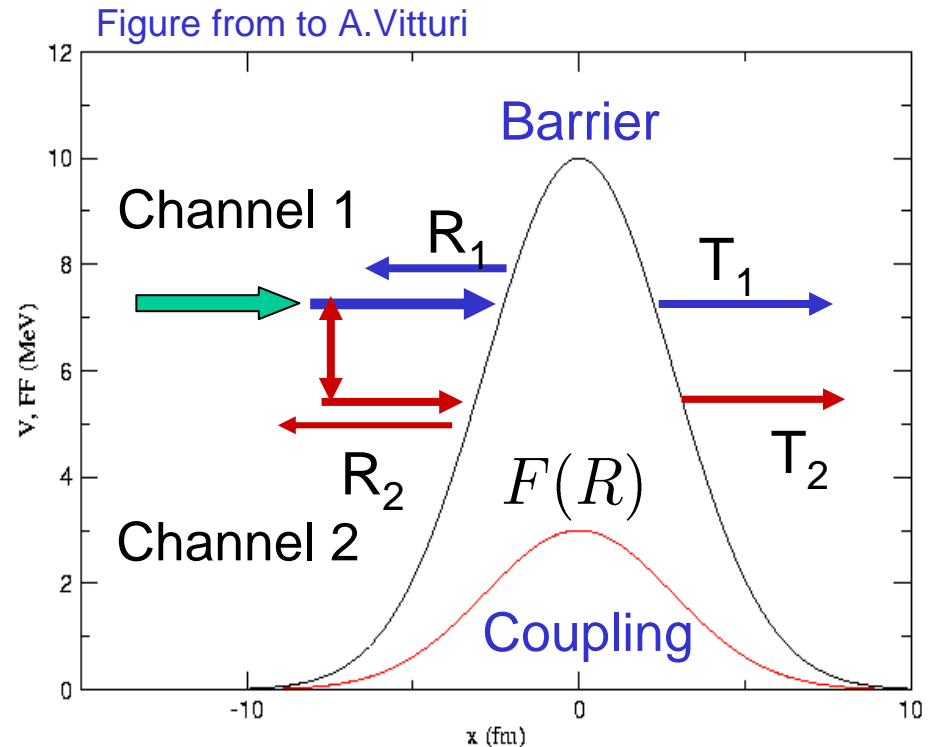
$$\begin{aligned} [E - \varepsilon_1 - T_R - V(R) - F_{11}(R)] \phi_1(\vec{R}) &= F_{12}(R) \phi_2(\vec{R}) \\ [E - \varepsilon_2 - T_R - V(R) - F_{22}(R)] \phi_2(\vec{R}) &= F_{21}(R) \phi_1(\vec{R}) \end{aligned}$$

Coupled channels effects on barrier distribution

Model problem



Coupling of two channels 1,2 assumed degenerate for simplicity - coupling $F(R)$ – incident waves in channel 1.



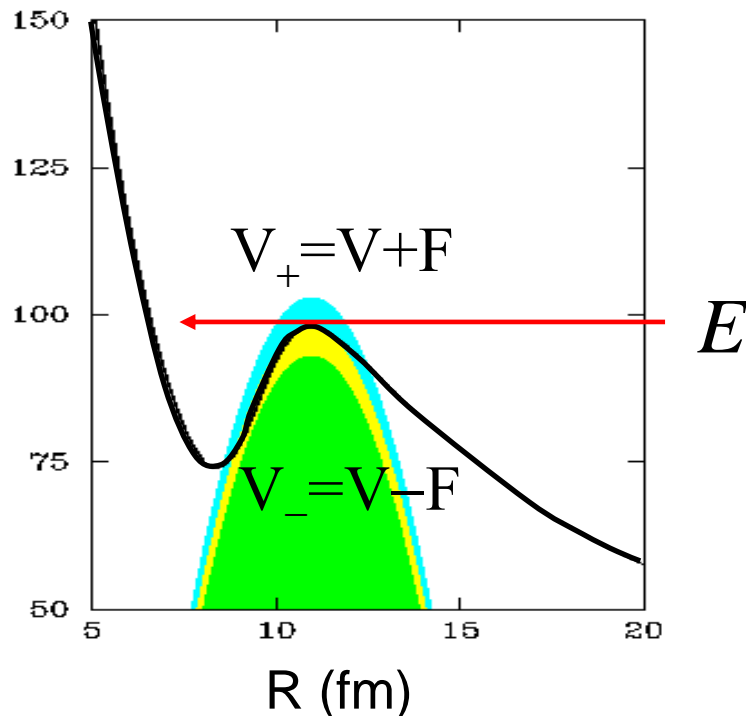
$$\left. \begin{aligned} \left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + V(R) - E \right] \phi_1(R) &= -F(R)\phi_2(R) \\ \left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + V(R) - E \right] \phi_2(R) &= -F(R)\phi_1(R) \end{aligned} \right\} \text{Decoupled by addition and subtraction}$$

Decoupled, two barriers problem

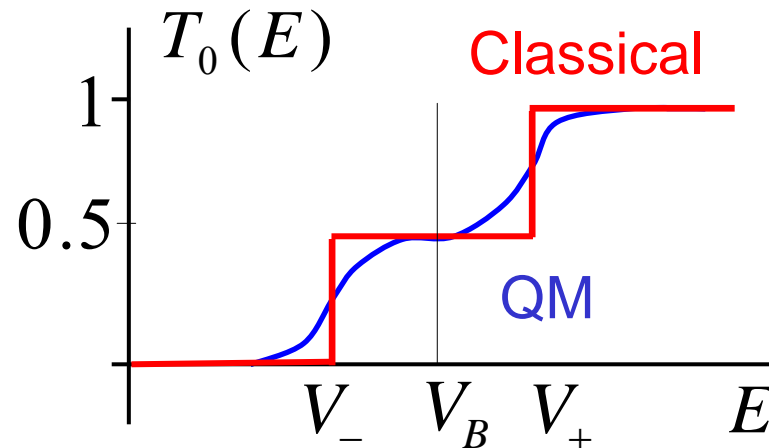
$$\left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \boxed{\{V(R) \pm F(R)\}} - E \right] \chi_{\pm}(R) = 0$$

two
barriers

$$\chi_{\pm}(R) = [\phi_1(R) \pm \phi_2(R)] / \sqrt{2} \quad |\langle \chi_{\pm} | \phi_1 \rangle|^2 = 1/2$$

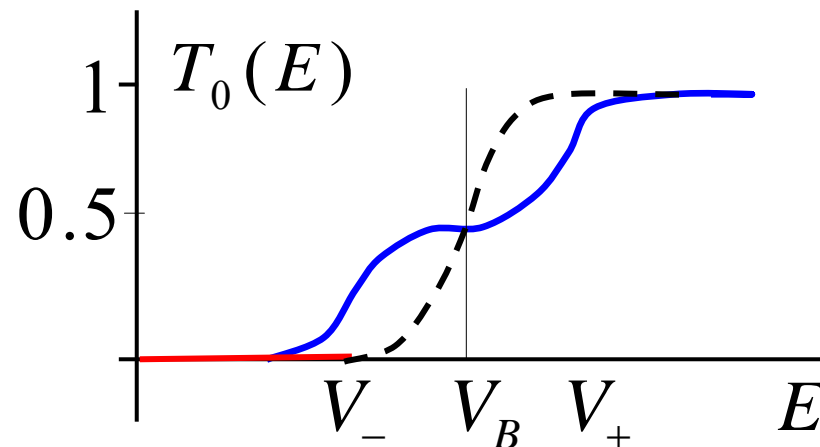


$$T_0(E) = \frac{1}{2} [T_+(E) + T_-(E)]$$



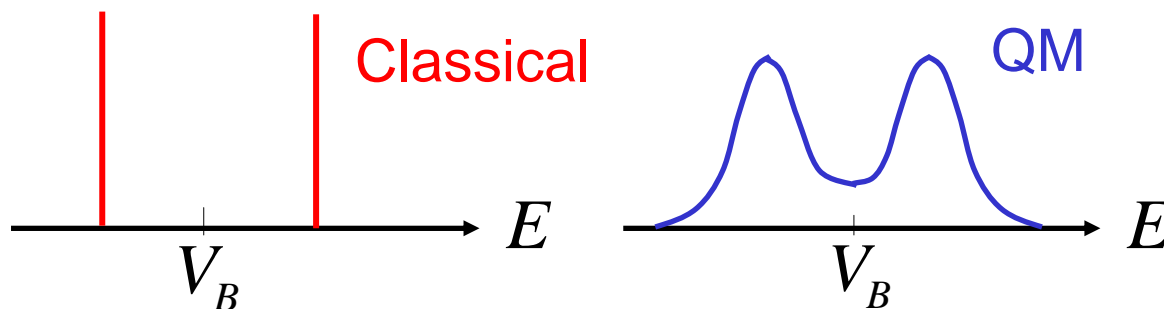
Barrier distributions will reflect channel couplings

In this simple model, channel coupling (no matter what the sign of the coupling potential) enhances fusion below and hinders fusion above the barrier – quite general result



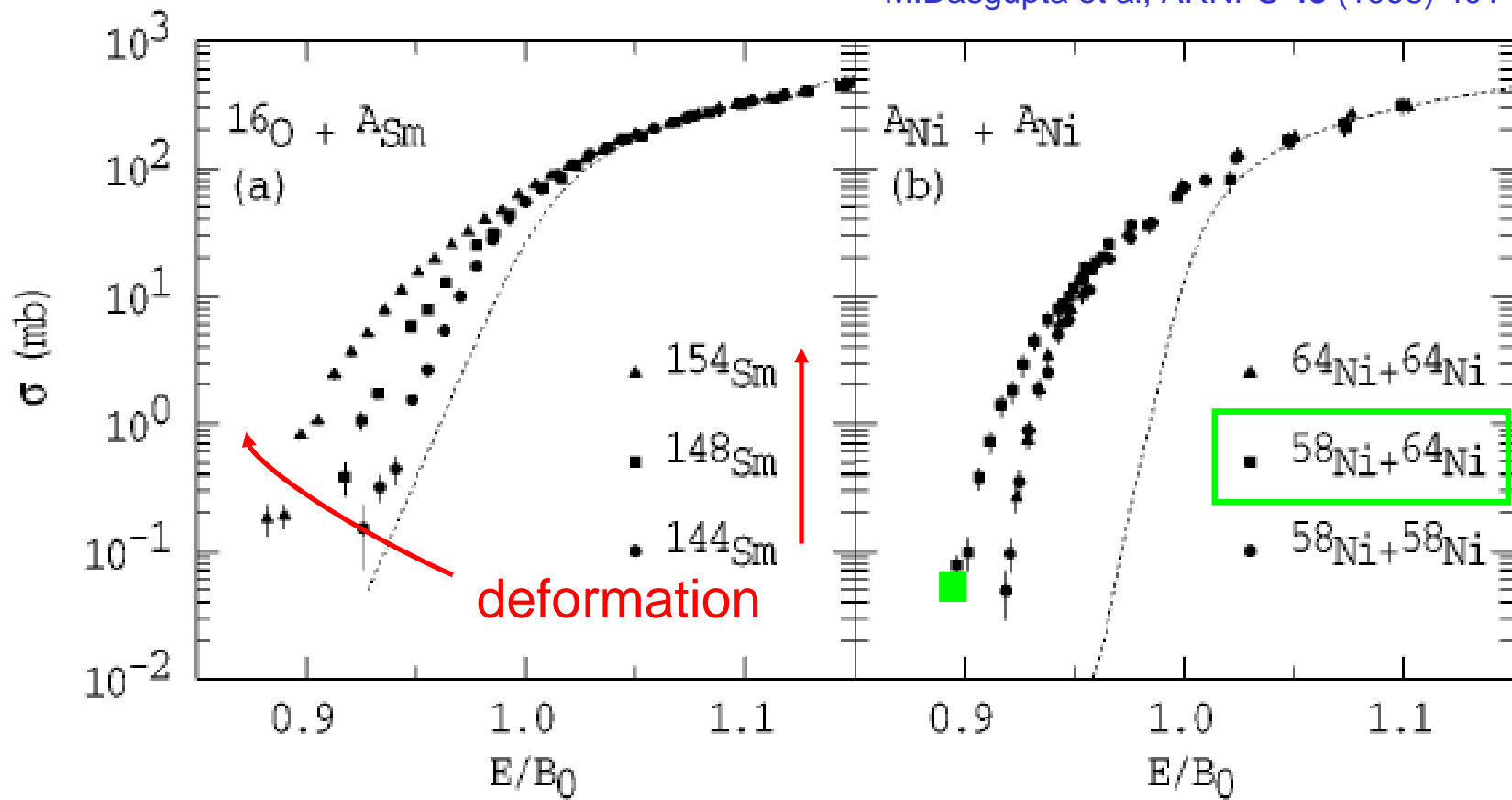
Non-degeneracy of the channels divides the flux incident on the barriers in a more complex way in the different channels (e.g. Beckerman, Rep. Prog. Phys. **51** (1988) 1047)

$$\frac{d^2}{dE^2} [E\sigma(E)] = \frac{\pi R_b^2}{2} [\delta(E - V_-) + \delta(E - V_+)]$$



Channel coupling – classic examples

M.Dasgupta et al, ARNPS **48** (1998) 401



R.G. Stokstad et al, PRL **41** (1978) 465,
PRC **21** (1980) 2427.

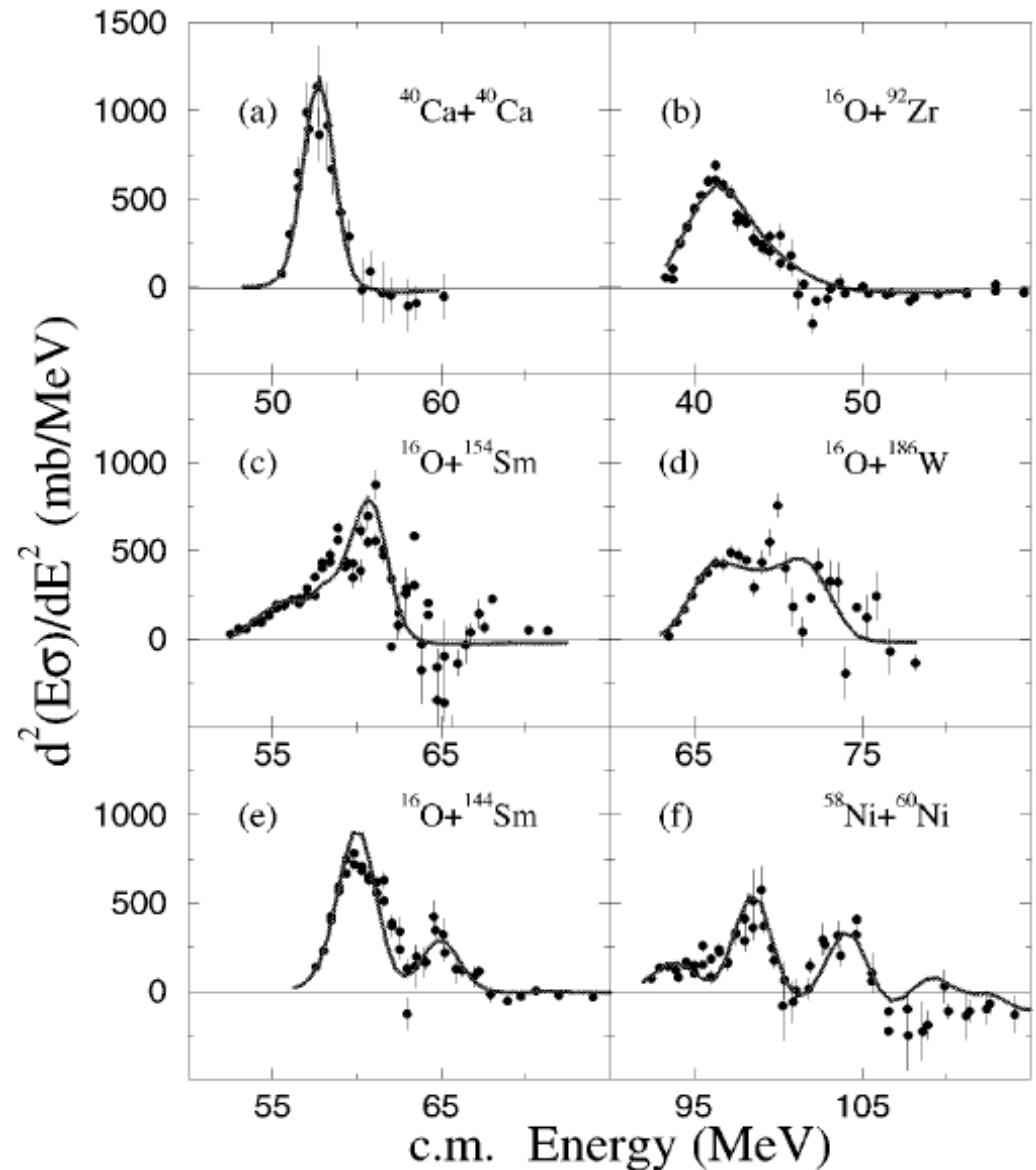
M. Beckerman et al, PRL **45** (1980) 1472,
PRC **23** (1981) 1581, PRC **25** (1982) 837.

Empirical and calculated barrier distributions

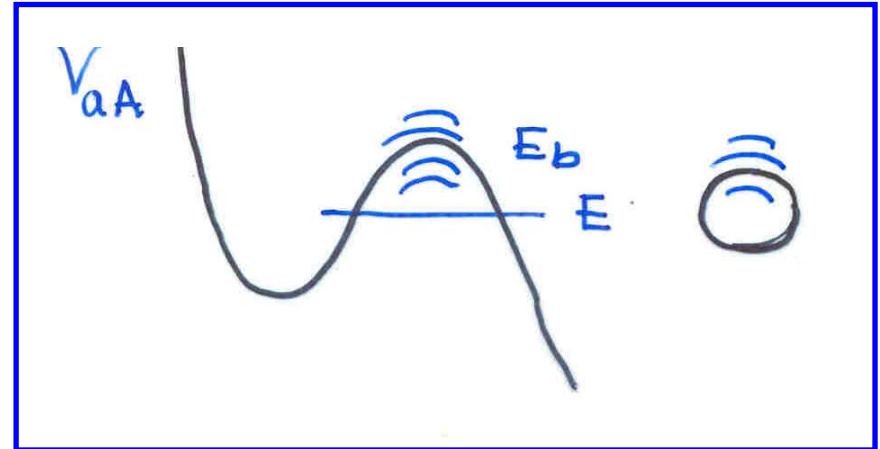
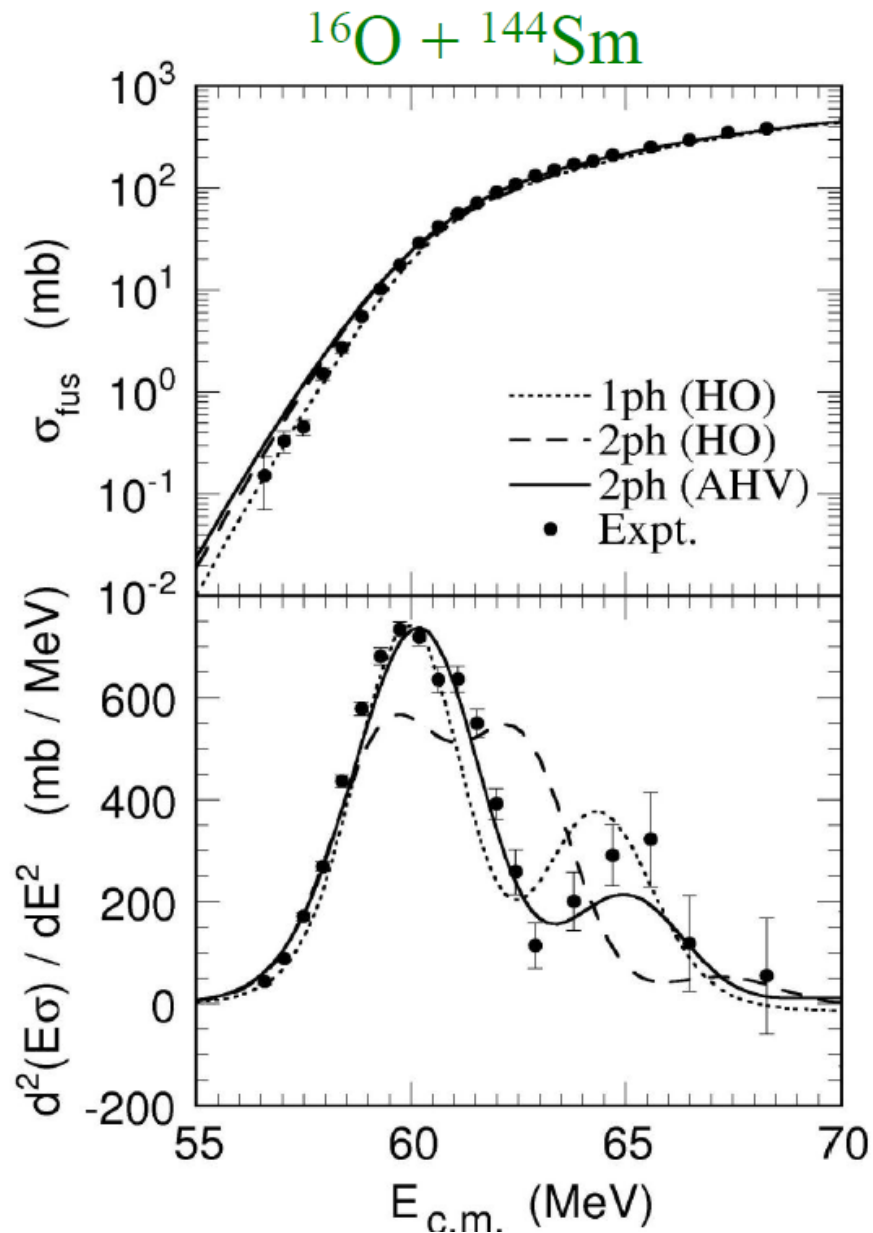
For data of sufficiently high accuracy and precision, one can compare the values of

$$\frac{d^2}{dE^2} [E\sigma(E)]$$

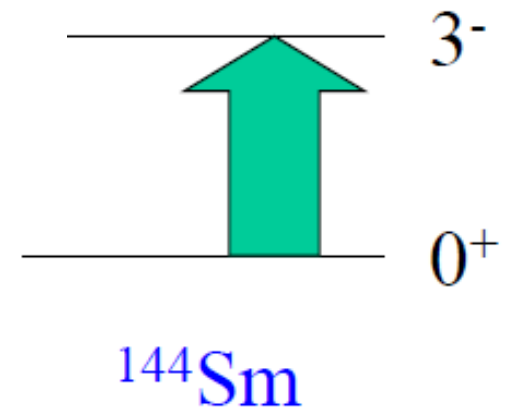
deduced from the data and from detailed coupled channels calculations, including rotational, vibrational single particle or transfer couplings



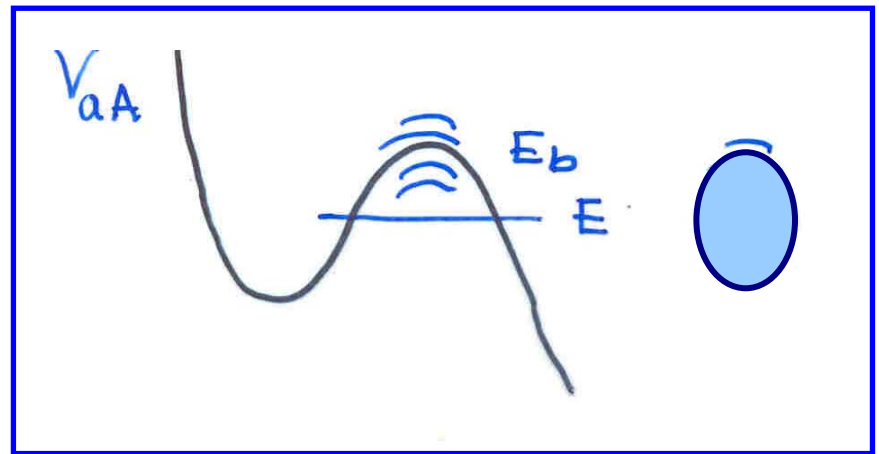
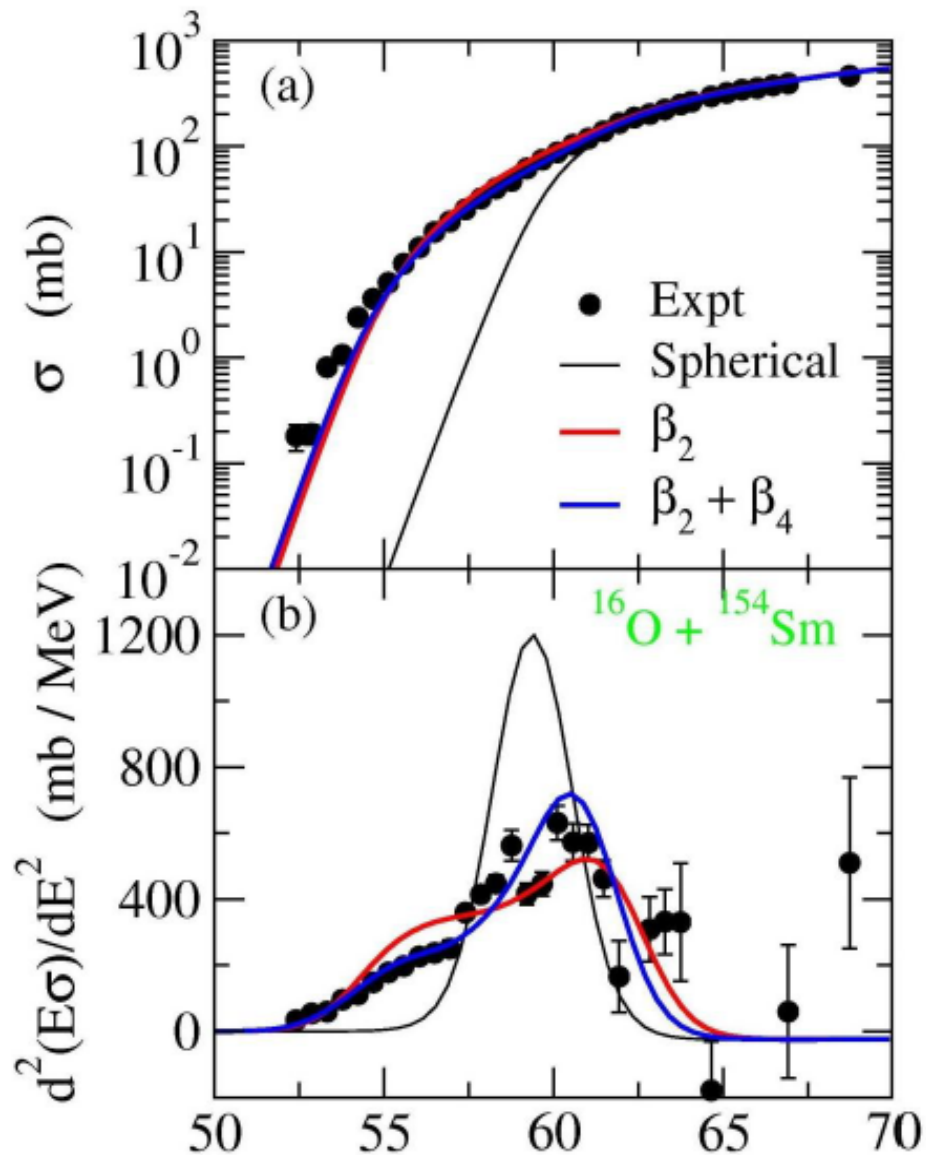
Homework problem I – Vibrational excitations



1.81 MeV



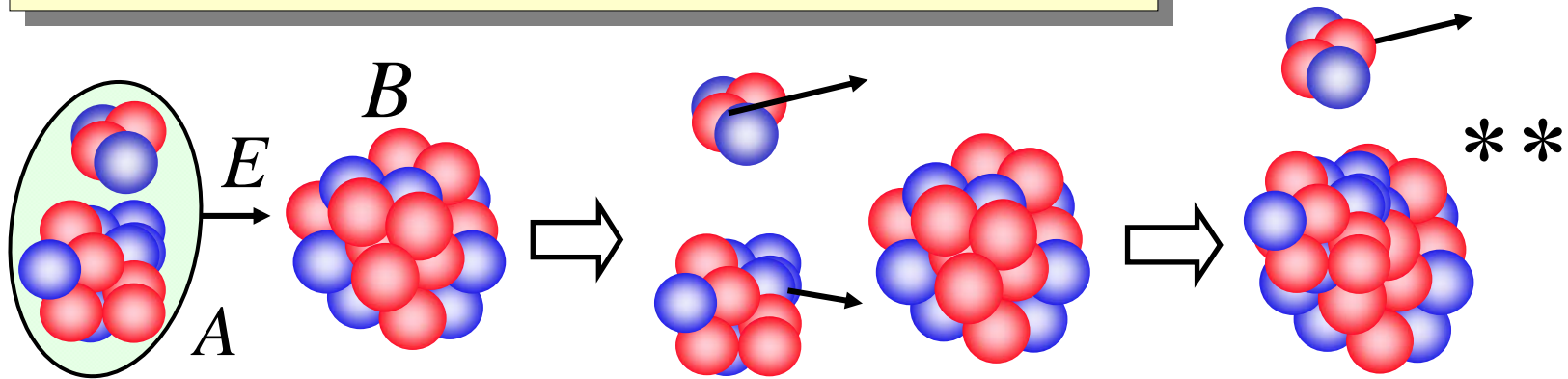
Homework problem II – Rotational excitations



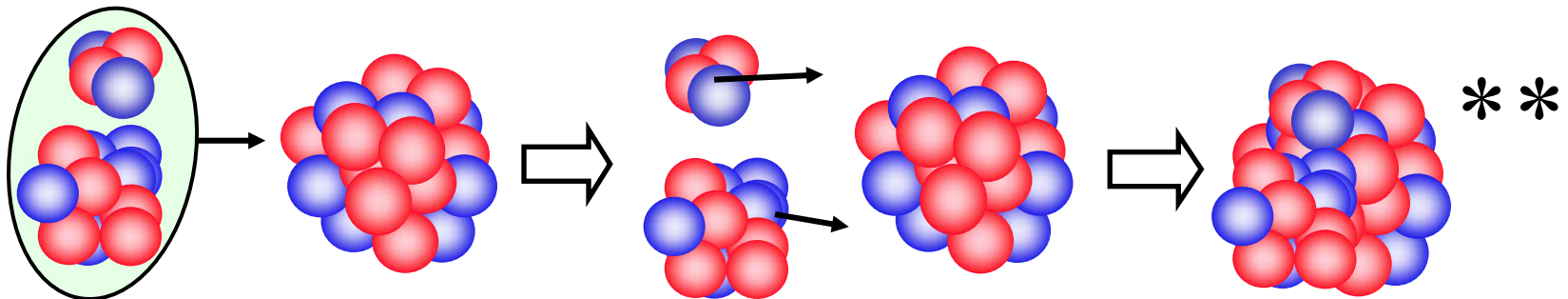
0.544	—	6^+
0.267	—	4^+
0.082	—	2^+
0	—	0^+
^{154}Sm		

New challenge is presented by weak binding

Break-up, followed by incomplete fusion

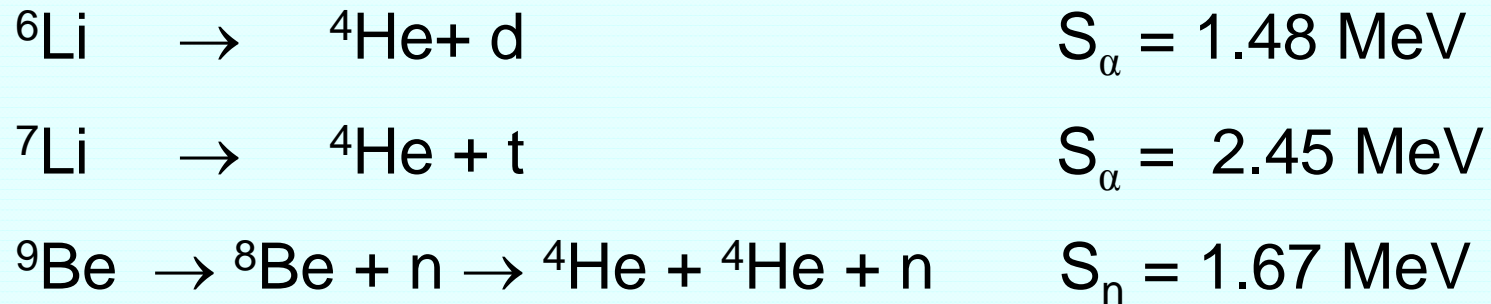


Break-up, followed by complete fusion

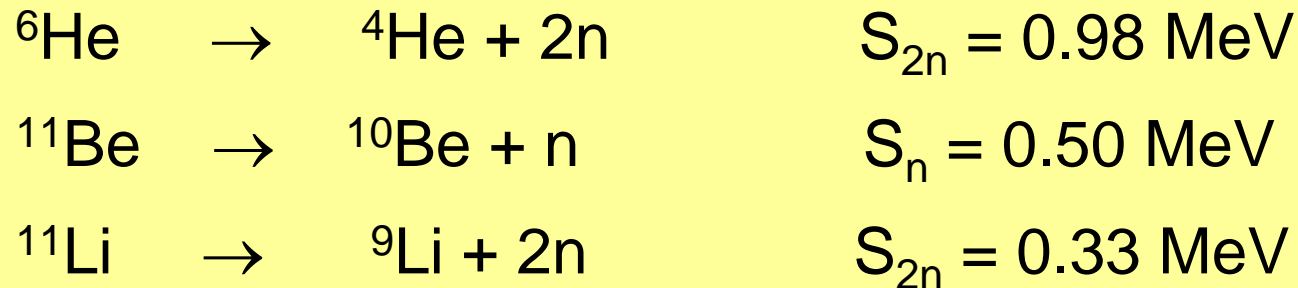


Weakly-bound and exotic nuclear systems

Stable systems



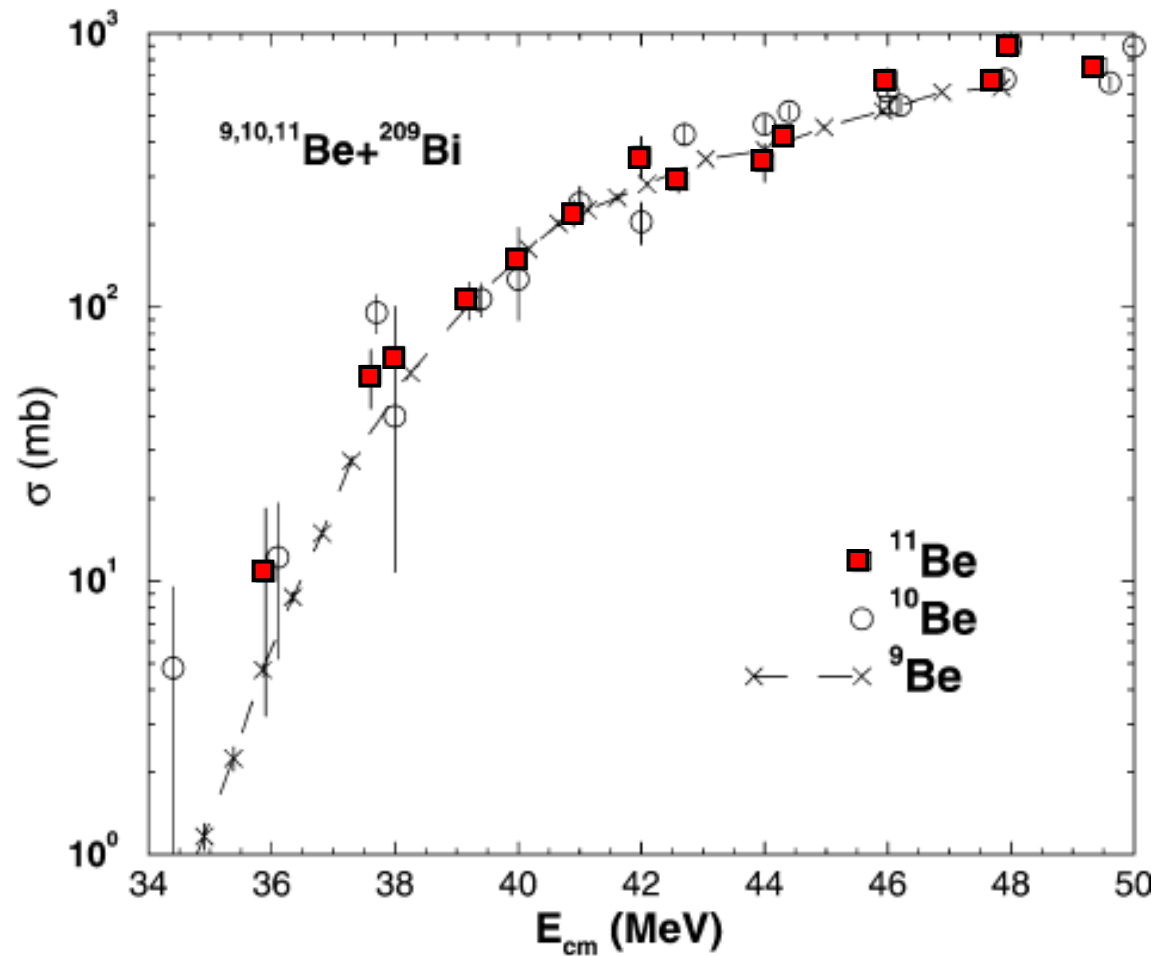
Unstable (exotic) systems



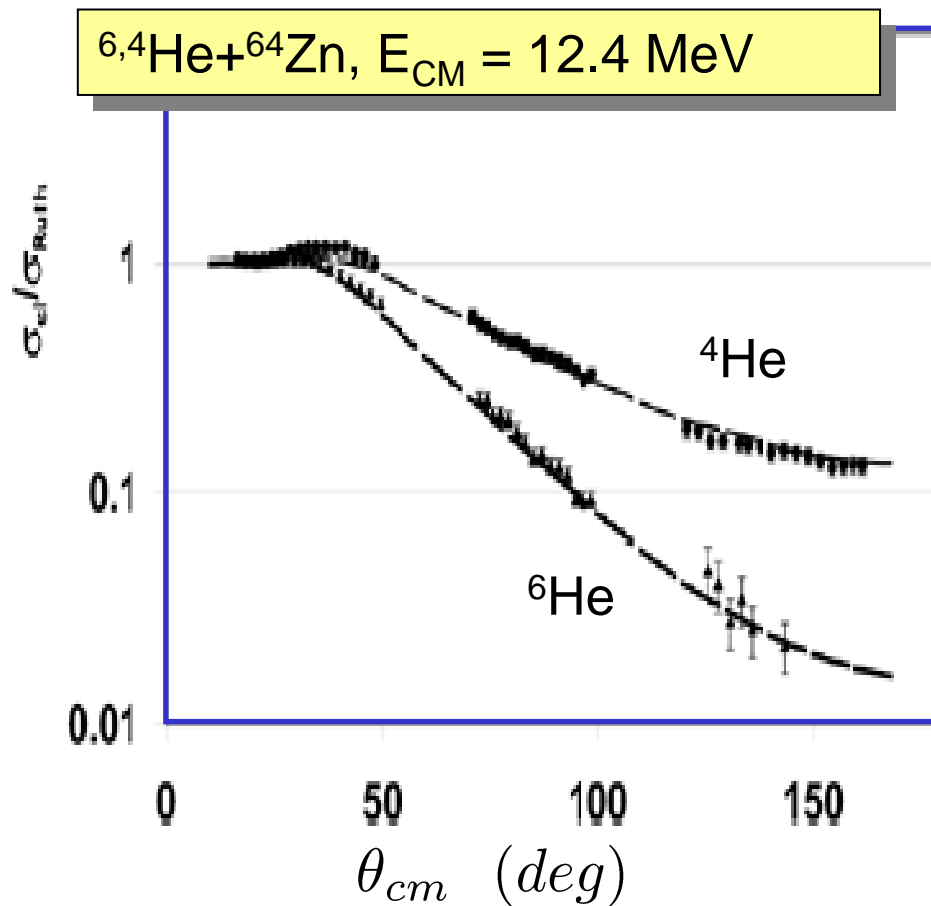
What are considerations for weakly-bound nuclei

- Static effects due to tails in density distribution - longer tails in ion-ion potential, lowering of Coulomb barrier – **might expect larger sub-barrier fusion probabilities**
- Dynamical effects due to coupling to states in the continuum (break-up processes), polarization term in optical potential – **and expect larger sub-barrier fusion**
- Breakup is due to the different forces acting on the fragments, that then separate – **and so a reduced expectation of total fusion**
- Weak binding leads typically to large +ve Q-values for nucleon transfers
- **But experiments with weak beams are very challenging at the sub-barrier energies where the sensitivity is.**

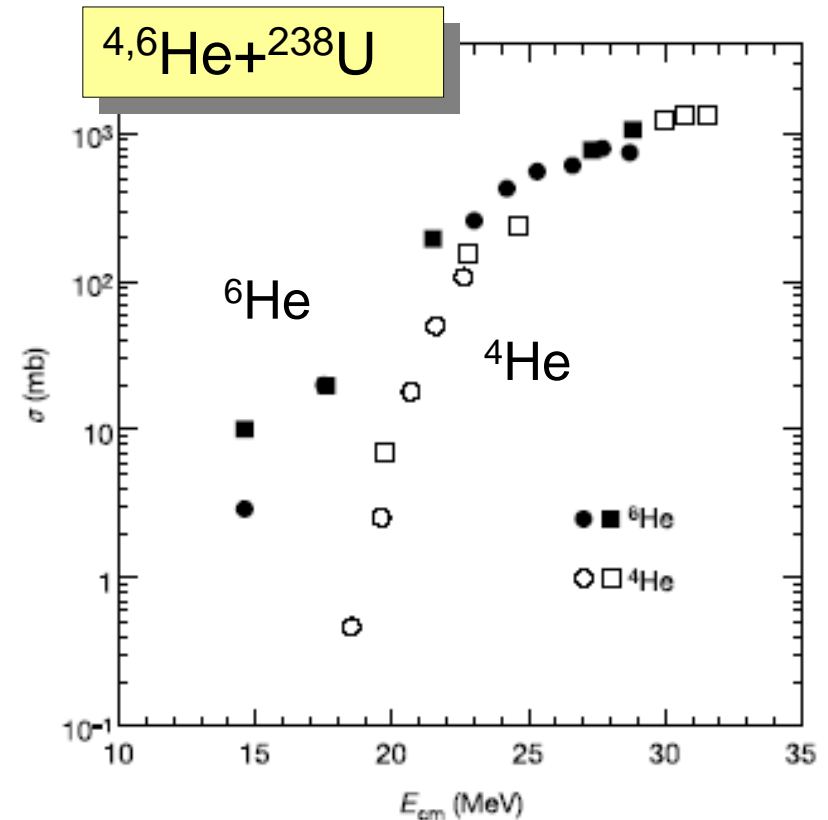
Beryllium isotopes – ^{11}Be a halo nucleus case



Elastic scattering reflects loose binding



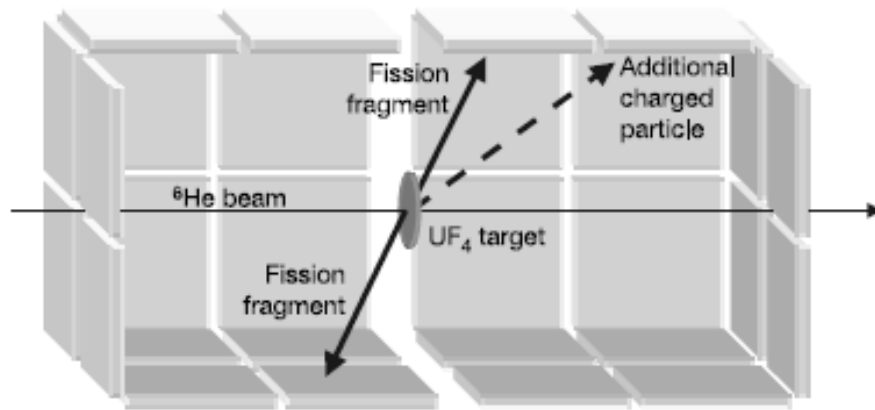
A. Di Pietro et al., Europhys. Lett. **64** (2003) 309



M. Trotta et al., PRL **84** (2000) 2342

R. Raabe et al., Nature **431** (2004) 823

Exclusive measurements – transfer channels



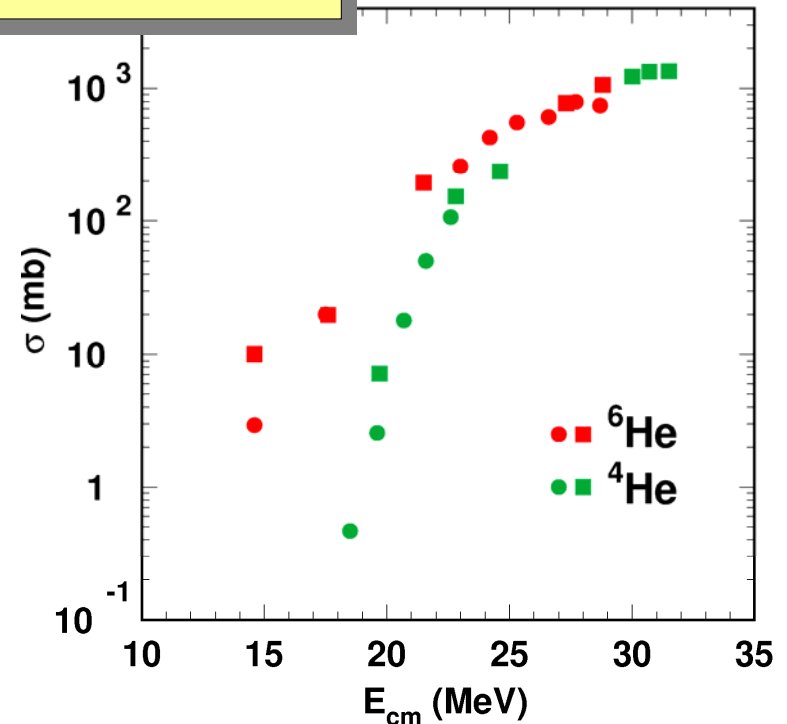
No enhancement of fusion probability by the neutron halo of ${}^6\text{He}$

R. Raabe^{1,2}, J. L. Sida^{1,*}, J. L. Charvet¹, N. Alamanos¹, C. Angulo³, J. M. Casandjian⁴, S. Courtin⁵, A. Drouart¹, D. J. C. Durand¹, P. Figueroa⁶, A. Gillibert¹, S. Heinrich¹, C. Jouanne¹, V. Lapoux¹, A. Lepine-Szily⁷, A. Musumarra⁶, L. Nalpas¹, D. Pierroutsakou⁸, M. Romoli⁸, K. Rusek⁹ & M. Trotta⁸

Transfer effects found to be larger than break-up for ${}^6\text{He}+{}^{65}\text{Cu}$ reactions

A. Navin et al., Phys Rev C **70**, 044601

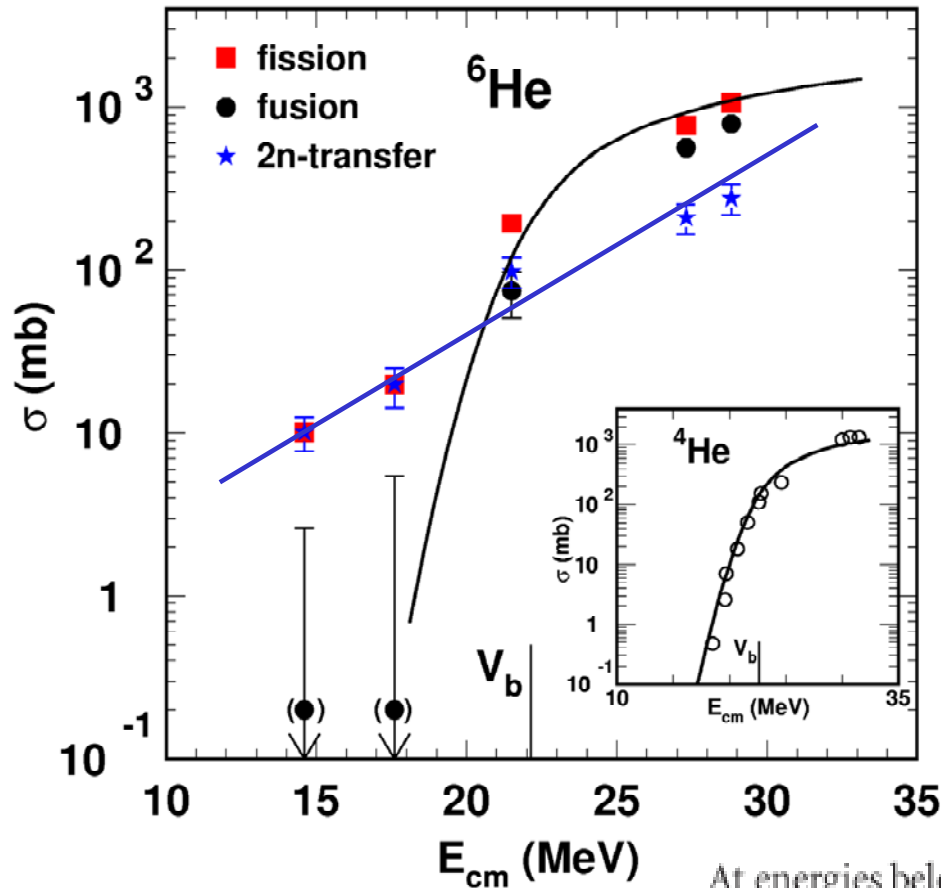
$4,6\text{He}+{}^{238}\text{U}$



M. Trotta et al., PRL **84** (2000) 2342

R. Raabe et al., Nature **431** (2004) 823

Two-neutron transfer and (no) enhancement



${}^4, {}^6\text{He} + {}^{238}\text{U}$

Measurement of coincidences with alpha-particles to clarify the role of 2n transfer (incomplete fusion)

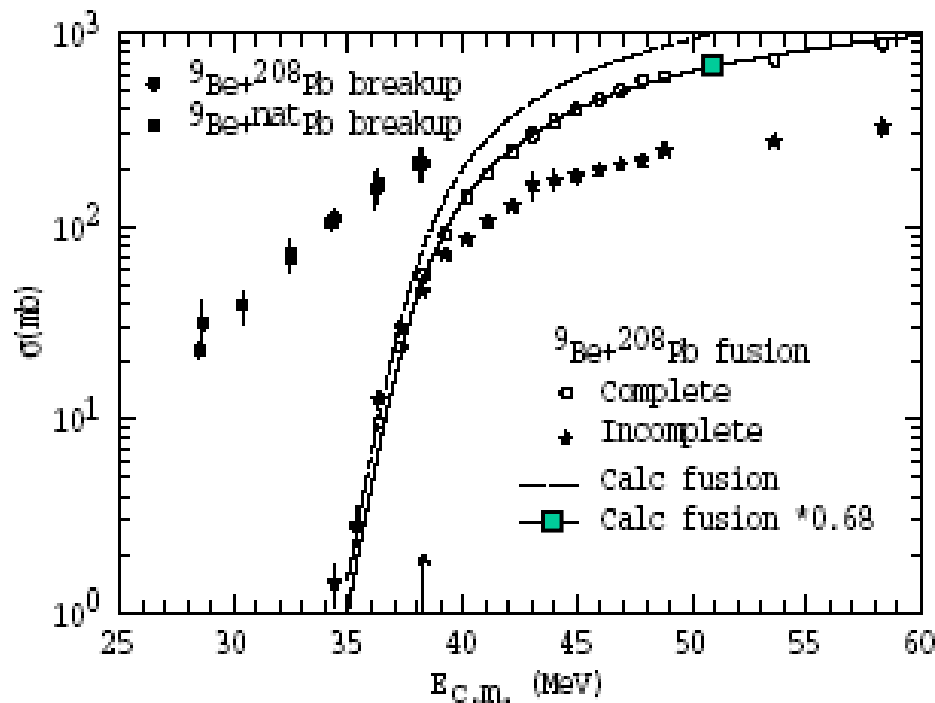
No enhancement of the fusion cross section

Below the barrier, the two-neutron transfer dominates

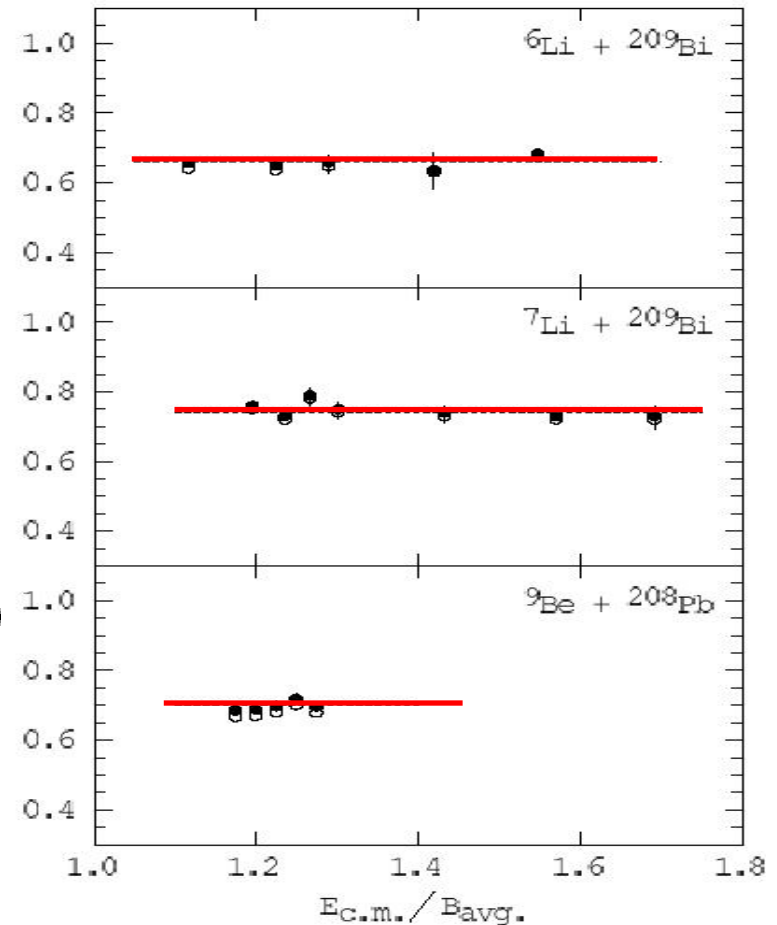
R. Raabe et al., et al, Nature **431** (2004) 823

At energies below the barrier, we find experimentally that there is no substantial enhancement of the fusion cross-section for the halo nucleus ${}^6\text{He}$. The large observed yield for fission is entirely due to a direct process, the two-neutron transfer to the target nucleus.

Break-up suppressing fusion above the barrier?



several examples suggesting break-up channels suppress the expected complete fusion cross section above the barrier



Useful papers/reviews and conferences

- Fusion Conference series: for example
- Fusion03: *From a Tunnelling Nuclear Microscope to Nuclear Processes in Matter*, Progress of Theoretical Physics Supplement **154**, 2004.
- A.B. Balantekin and N. Takigawa, *Quantum Tunnelling in Nuclear Fusion*, Rev. Mod. Phys. 70 (1998) 77-100.
- M. Dasgupta et al., *Measuring Barriers to Fusion*, Ann. Rev. Nucl. Part. Phys. 48 (1998) 401-461
- Workshop: *Heavy-ion Collisions at Energies Near the Coulomb Barrier* 1990, IoP Conference Series, Vol 110 (1990).
- S.G. Steadman et al., ed. *Fusion Reactions Below the Coulomb Barrier*, Springer Verlag (1984)
- M.E. Brandan and G.R. Satchler, *The Interaction between Light Heavy-ions and what it tells us*, Phys. Rep. **285** (1997) 143-243.
- M. Beckerman, *Sub-barrier Fusion of Two Nuclei*, Rep. Prog. Phys. **51** (1988) 1047-1103.
- M.S. Hussein and K.W. McVoy, *Inclusive Projectile Fragmentation in the Spectator Model*, Nucl. Phys. **A445** (1985) 124-139.
- M. Ichimura, *Theory of Inclusive Break-up Reactions*, Conf on Nucl. React. Mechanism, World Scientific (Singapore), 1989, 374-381.
- plus enormous volume of relevant literature – much of which is cited in the above

Session discussed:

1. The physics of barrier-passing models of heavy-ion fusion reactions at energies near-to and well below the Coulomb barrier. The boundary conditions used and the form of the numerical solutions with energy.

2. The importance and the treatment of collective-type channel coupling effects and the distribution-of-barriers method for identifying the strongly coupled channels.

3. The (still ambiguous) role of breakup channels on sub-barrier fusion yields and the importance of neutron transfer channels in the case of neutron rich and weakly-bound (halo-like) projectile nuclei.